



Dust-Radiation Interaction



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BSC and ICREA

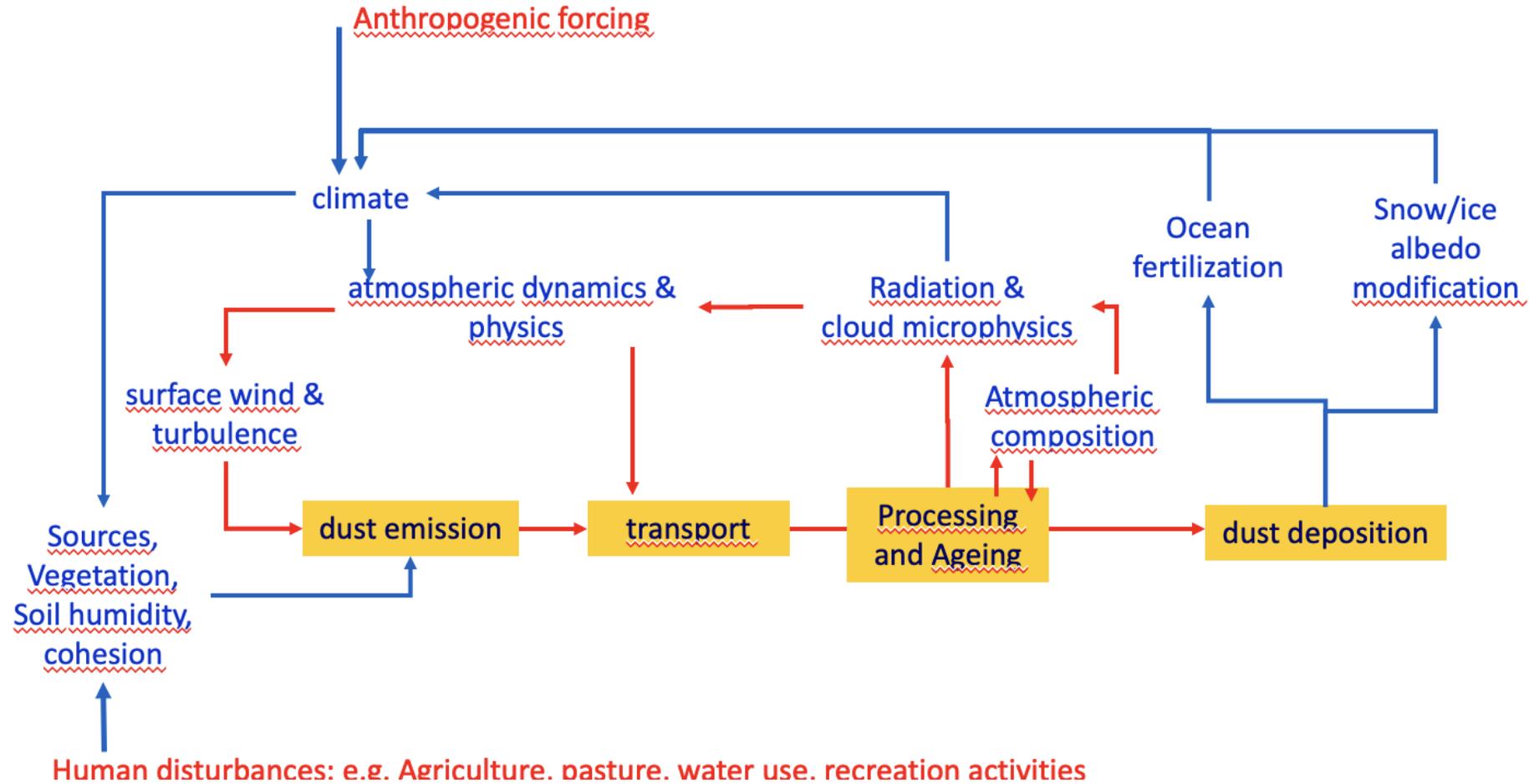




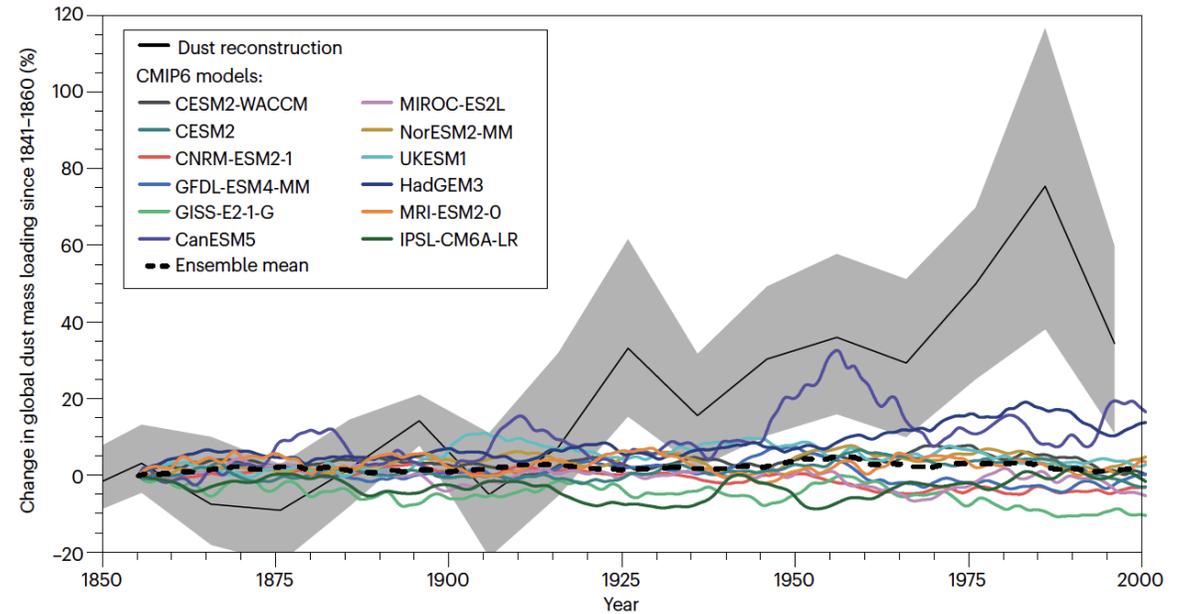
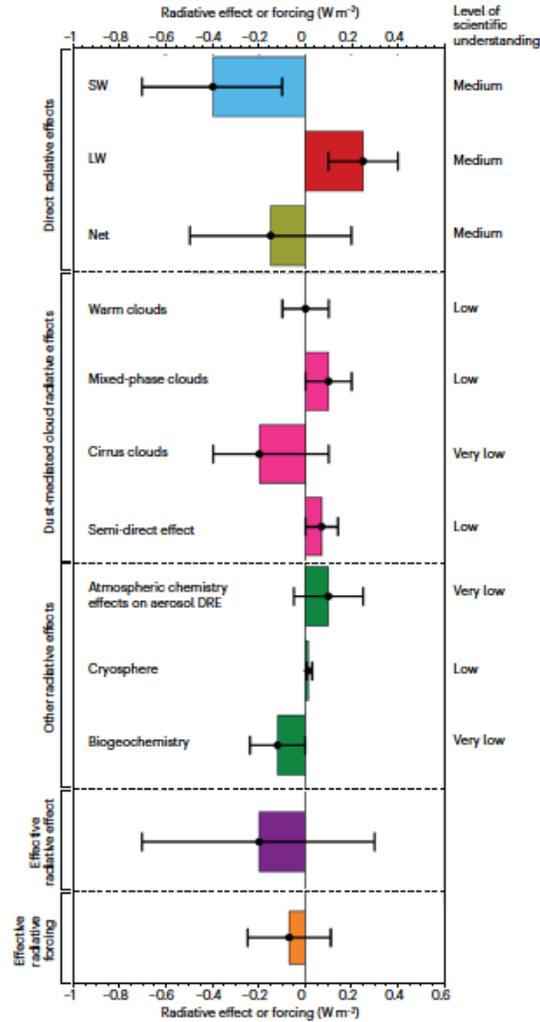
Outline of the session

- An introduction to dust effects and feedbacks
- Dust radiative effect and forcing
- The importance of size, shape and mineralogical composition (EMIT)
- Constraining the global dust SSA with constrained PSDs and mineralogy
- Dust radiation impacts on weather forecasting

Dust effects and feedbacks

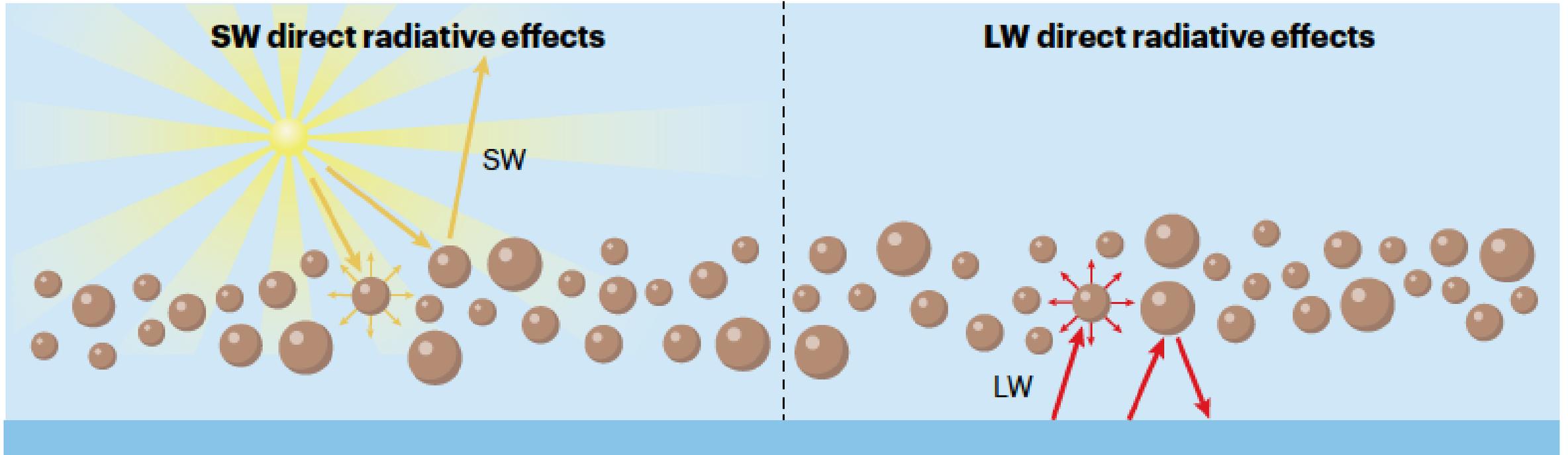


Global radiative effect and forcing

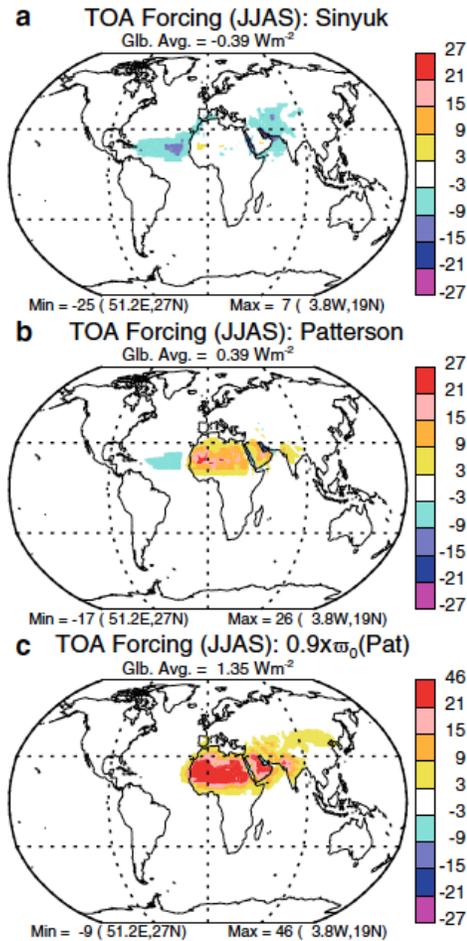


Dust interactions with radiation (direct effects)

a Dust interactions with radiation



Modeling the direct radiative effect

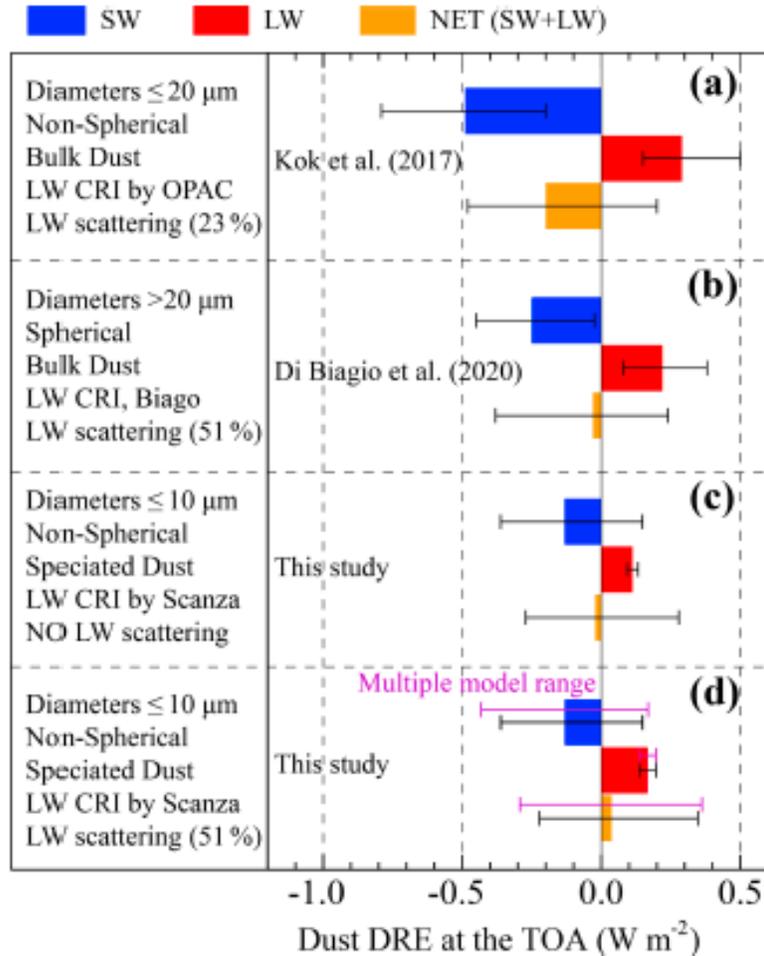


More scattering dust

More absorbing dust

Miller et al. (2014)

DRE uncertainty due to soil mineralogy



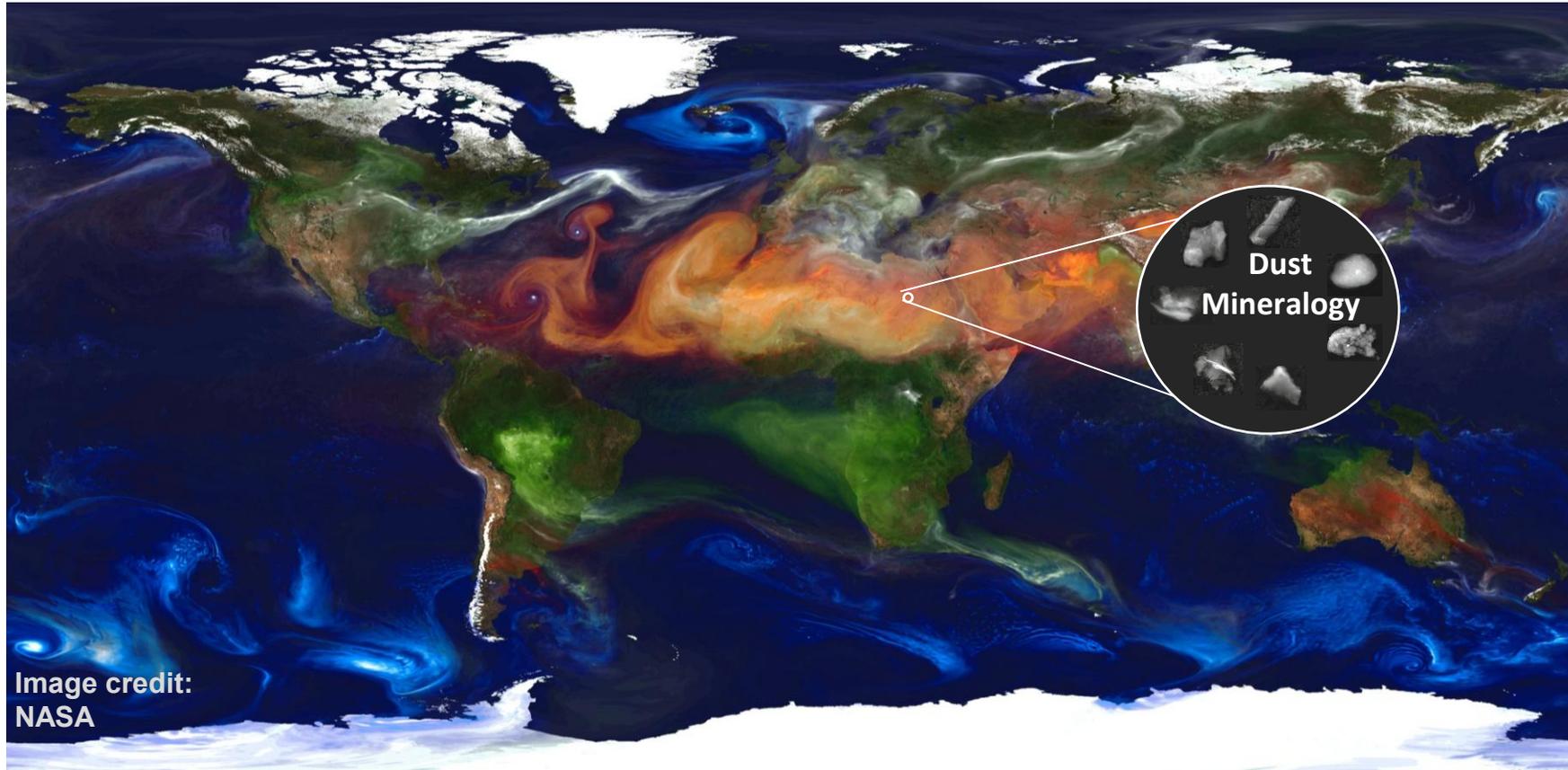
Today, when using mineral speciation in models:

- The global net TOA DRE is estimated to be -0.23 to +0.35 m² due to soil mineralogy uncertainty

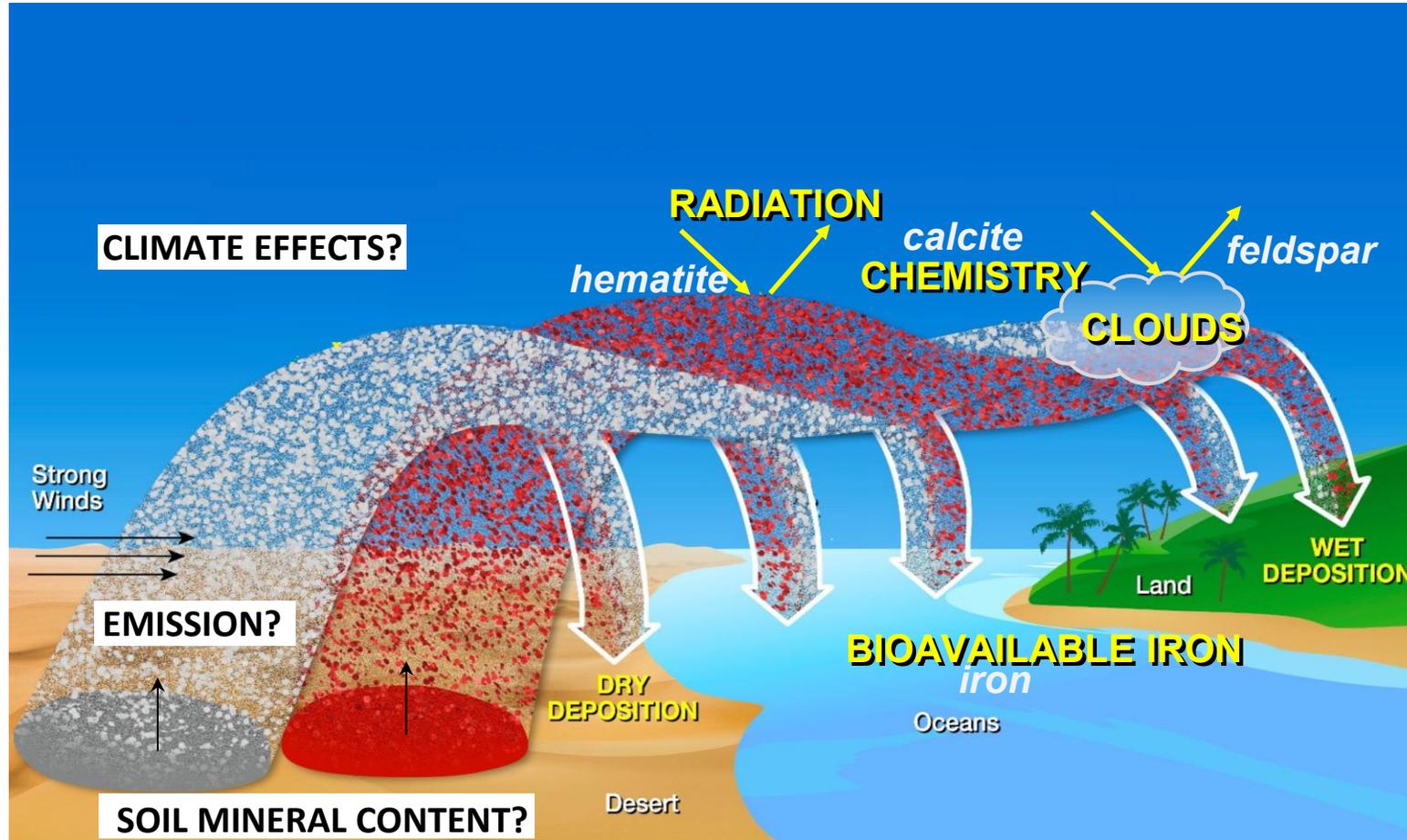
- 97 % of this range is related to the abundance of iron oxides

Li et al. (2021)

The importance of meteorological composition



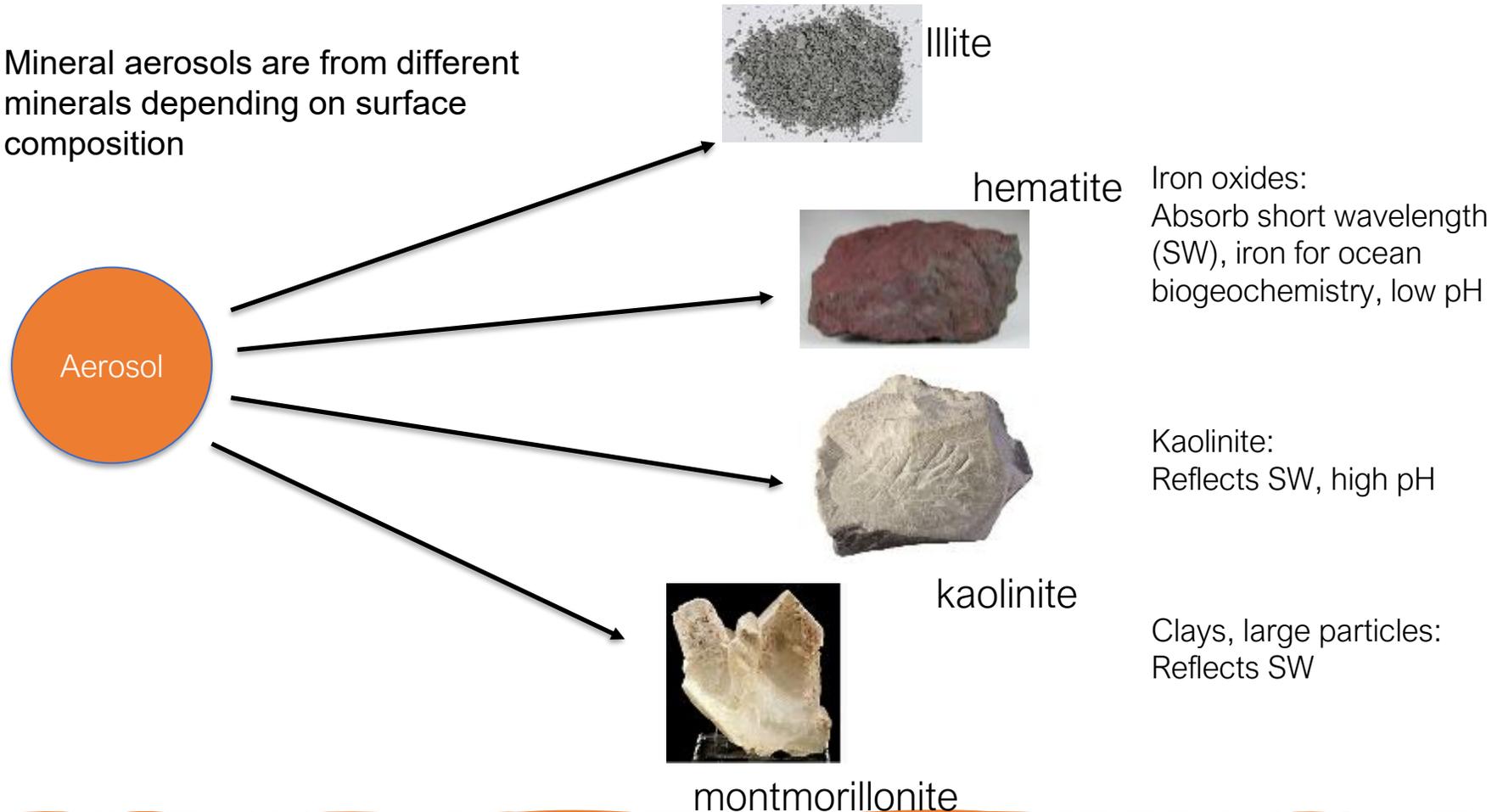
The importance of meteorological composition



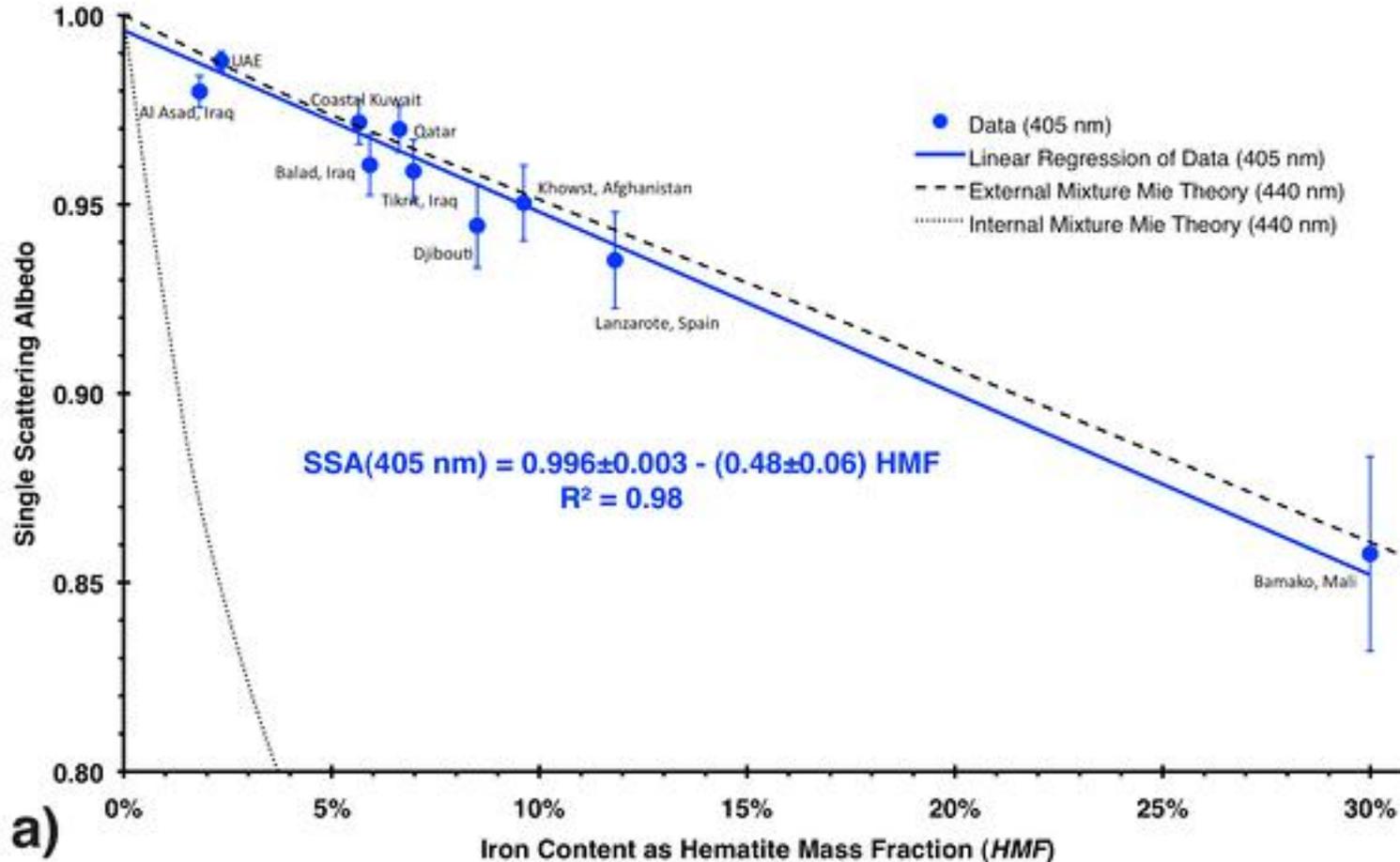
Models neglect dust mineralogical composition variations

- Traditionally: Model an 'average' mineral aerosol
- Mineral aerosols are from different minerals depending on surface composition

Each mineral has different properties and interactions with Earth System



Shortwave radiative effects

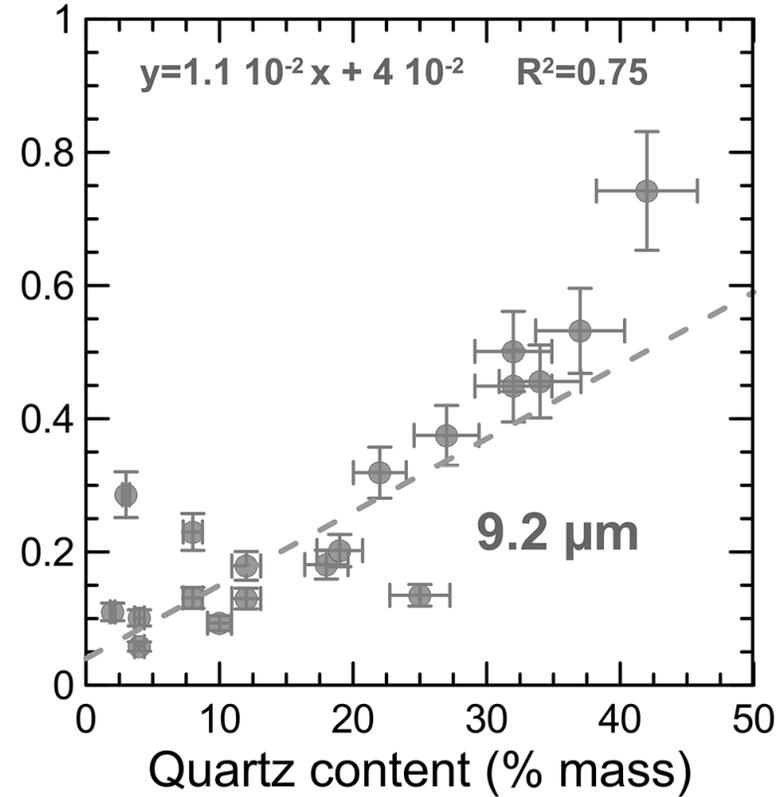
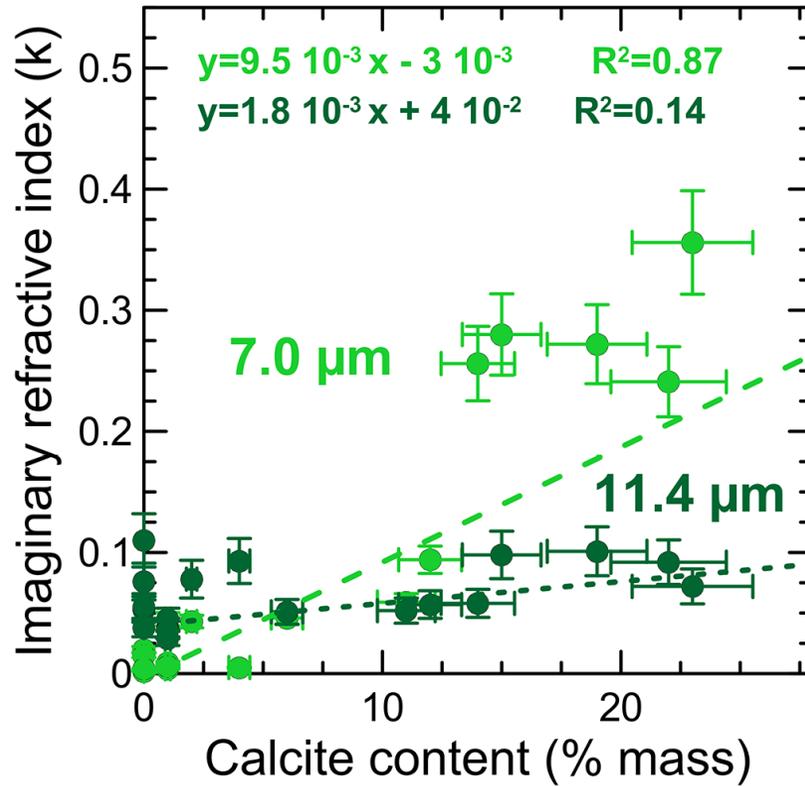


Moosmüller et al. (2012)

Iron oxides
Hematite

Longwave radiative effects

(a)



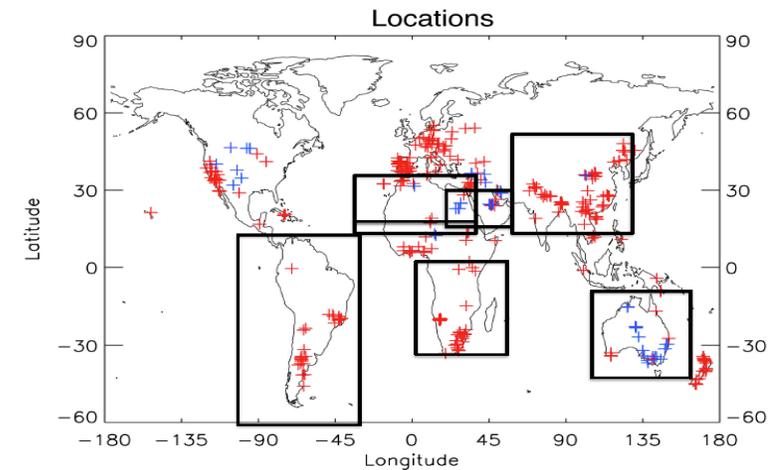
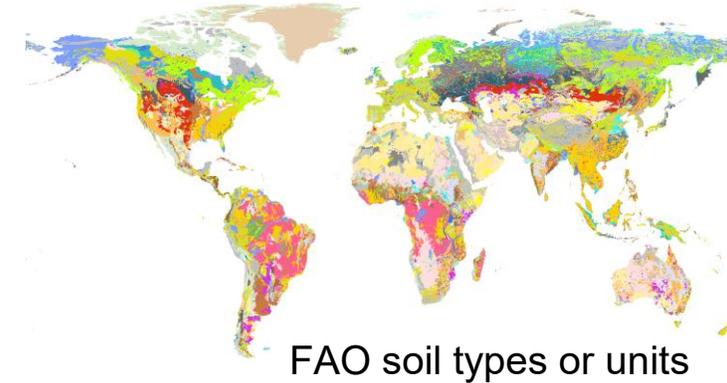
Di Biagio et al. (2017)

Calcite
Quartz

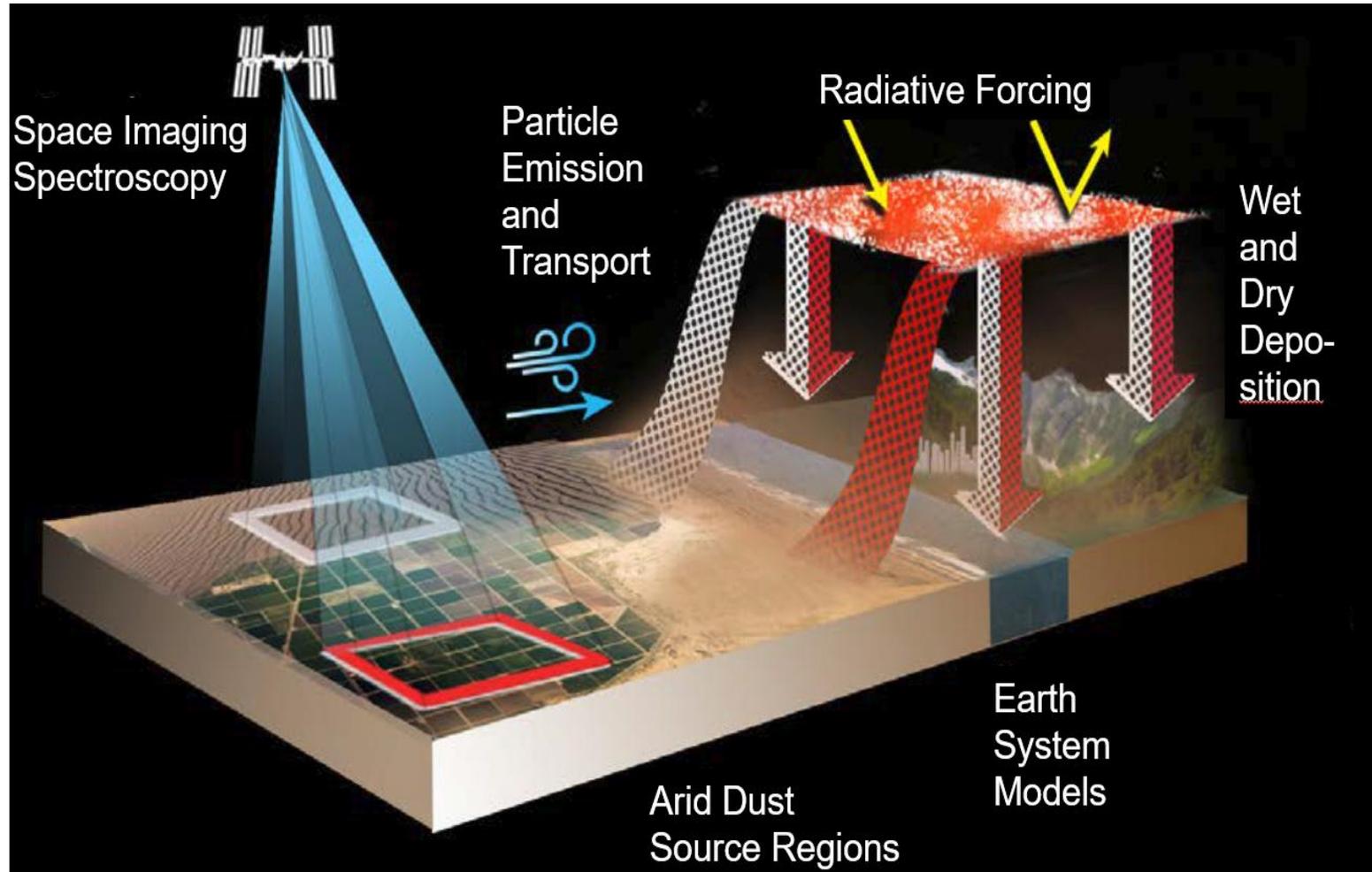
Mapping of soil-surface mineralogy

Claquin et al., 1999; Journet et al., 2014

- Currently 12 key minerals estimated
- 700 soil descriptions sampling 55 % of FAO soil units
- Many regions including prolific sources not sampled
- Massive extrapolation based on soil unit/type
- A number of assumptions to overcome the lack of data: for example on hematite and goethite size

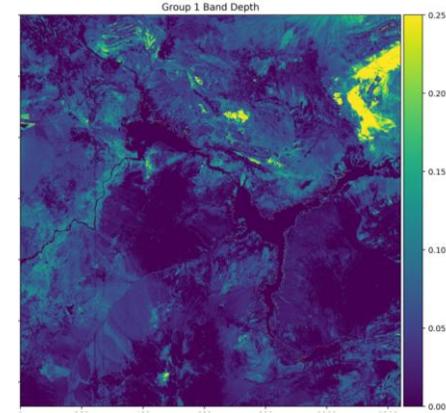
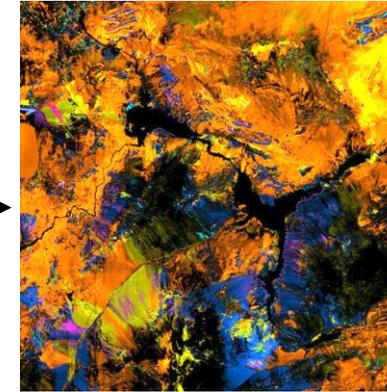
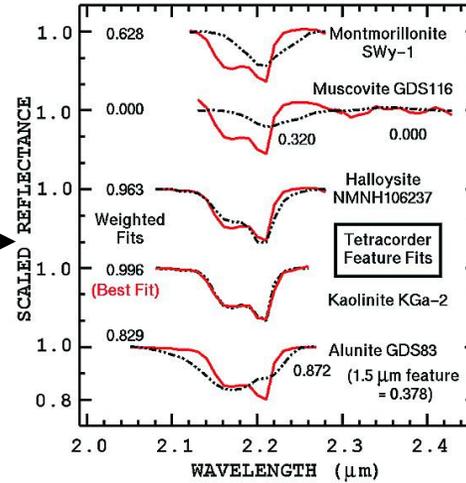
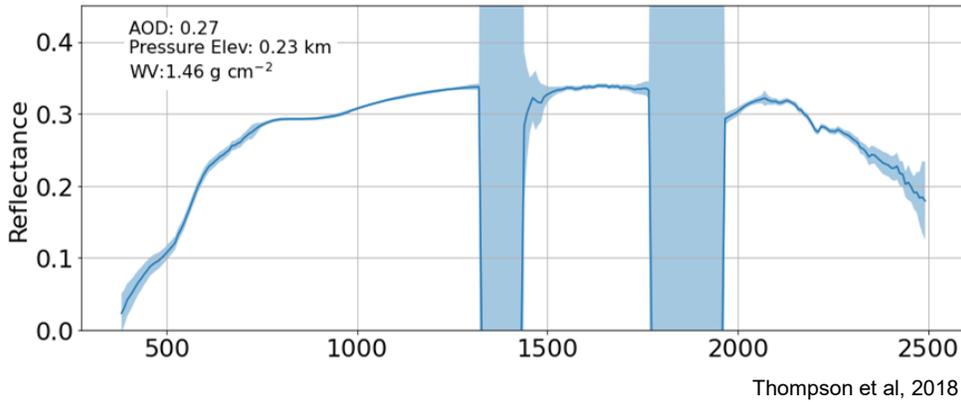


The Earth surface Mineral dust source InvesTigation (EMIT)

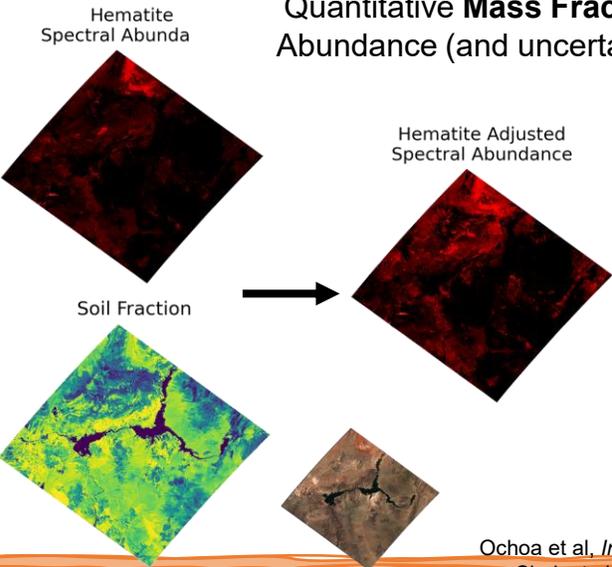


The Earth surface Mineral dust source InvesTigation

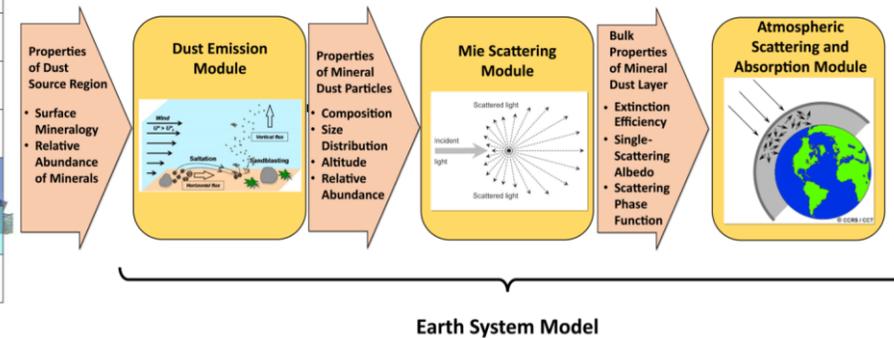
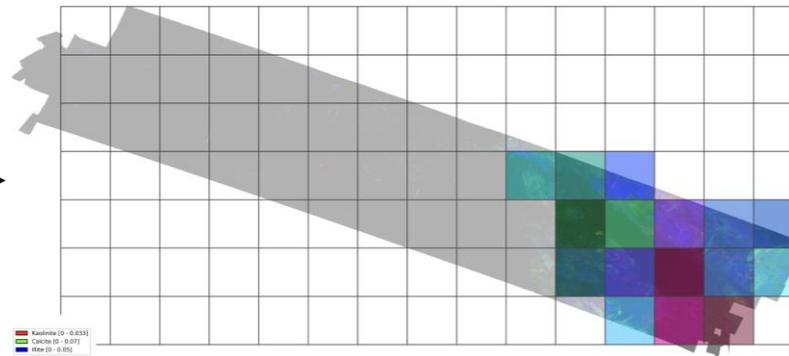
Retrieved Surface Reflectance and Uncertainty



Quantitative Mass Fraction Abundance (and uncertainty)



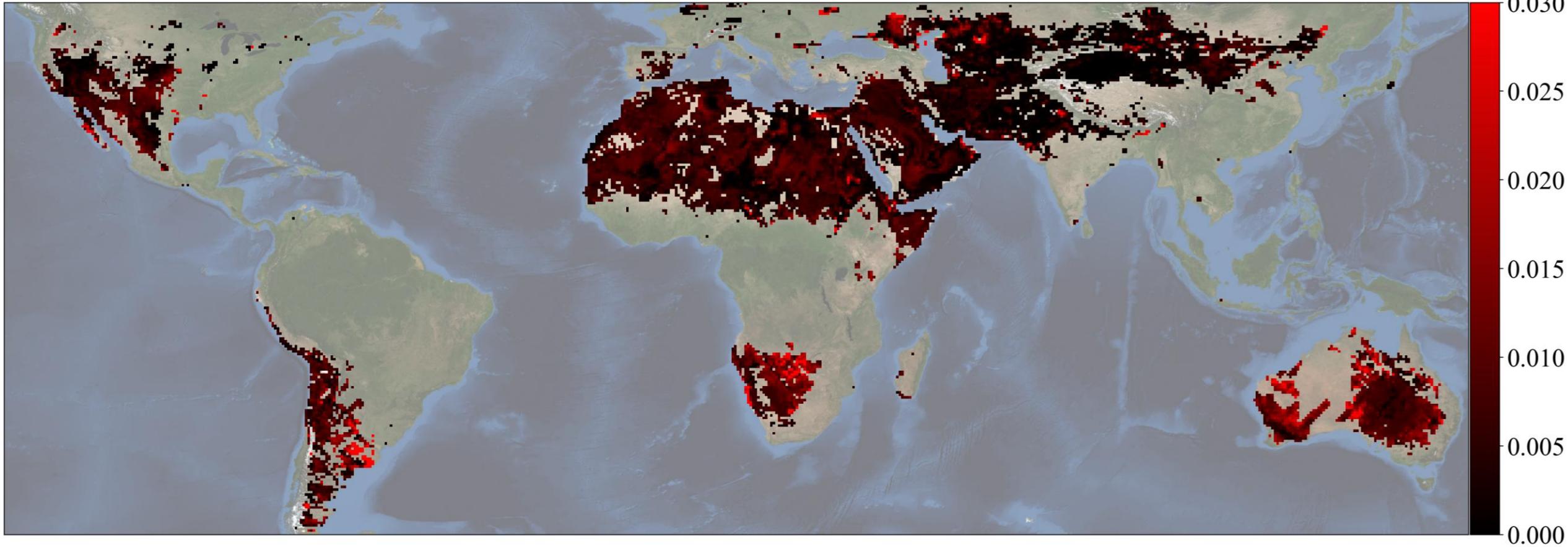
Spatial Aggregation



Ochoa et al. *In Prep*
Clark et al, 2003

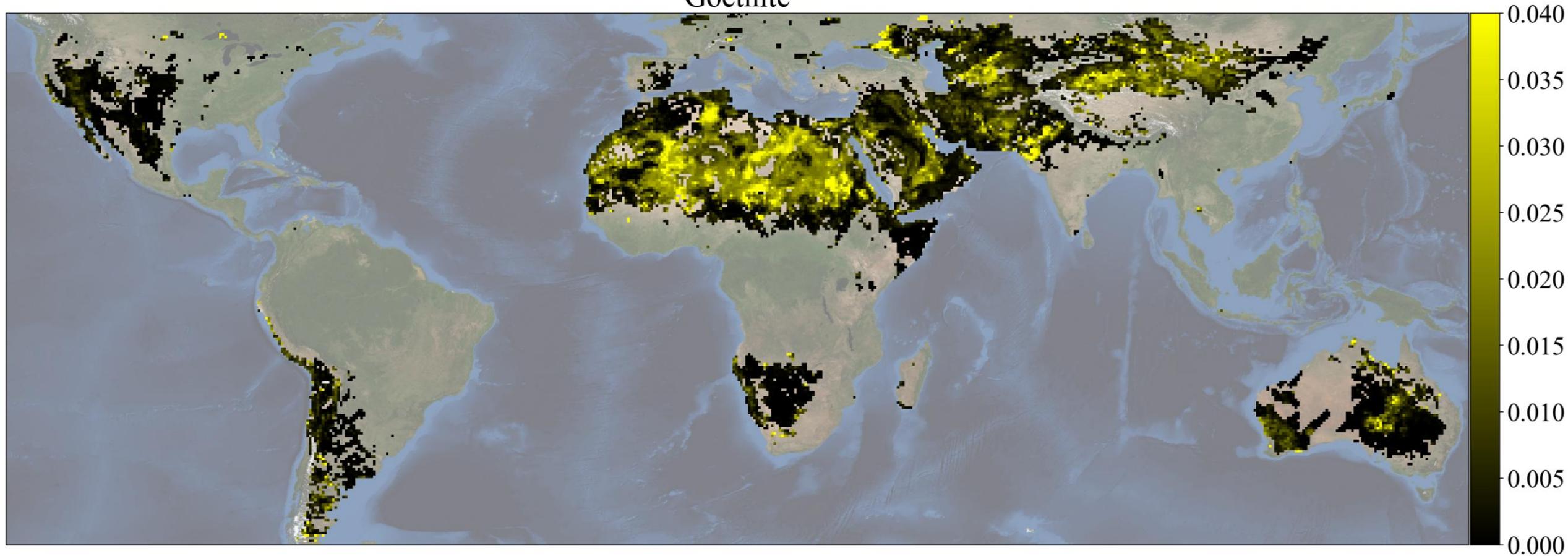
Individual minerals

Hematite



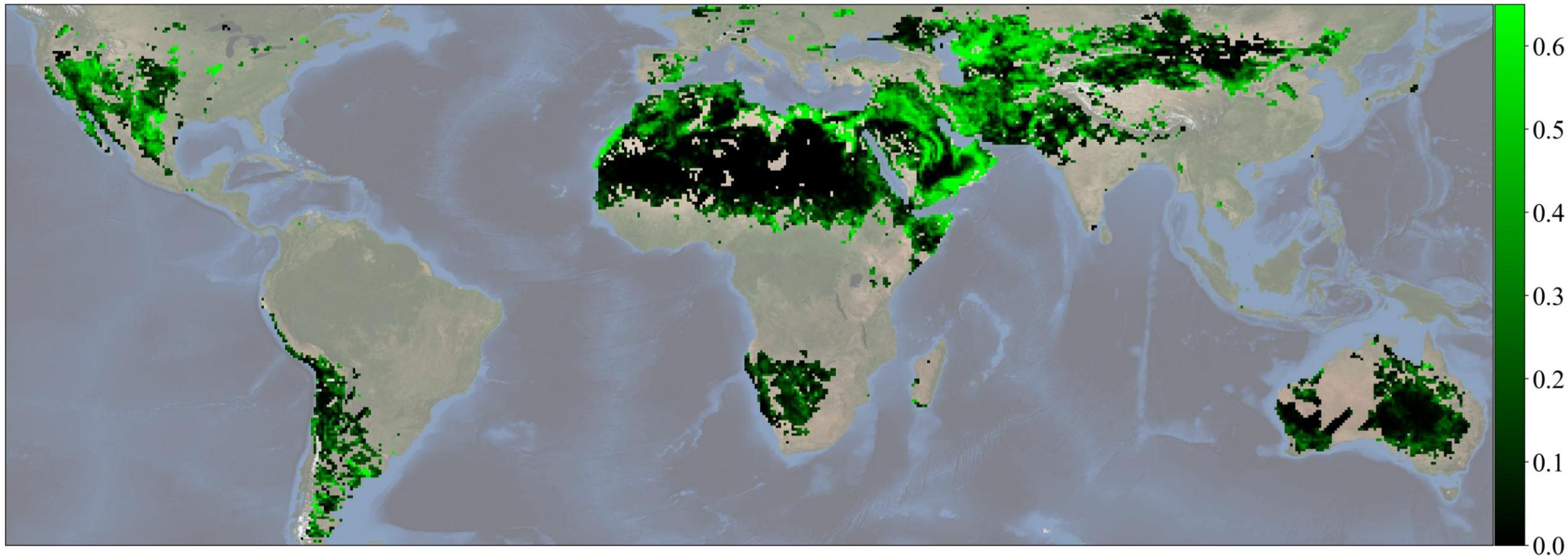
Individual minerals

Goethite



Individual minerals

Calcite



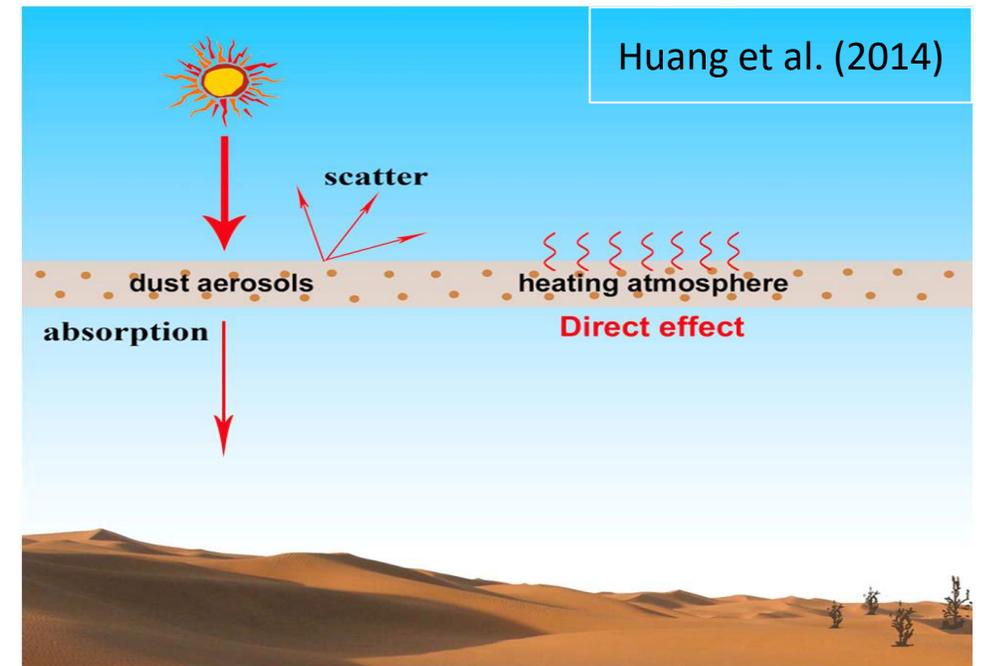
Background and Definitions

Direct Radiative Effect (DRE)

Atmospheric dust aerosols scatter and absorb shortwave (SW) and longwave (LW) radiation:

The dust DRE is the instantaneous change of the radiative balance due to aerosols:

$$R = (F_d - F_u)_a - (F_d - F_u)_o$$



Background and Definitions

Dust optical properties

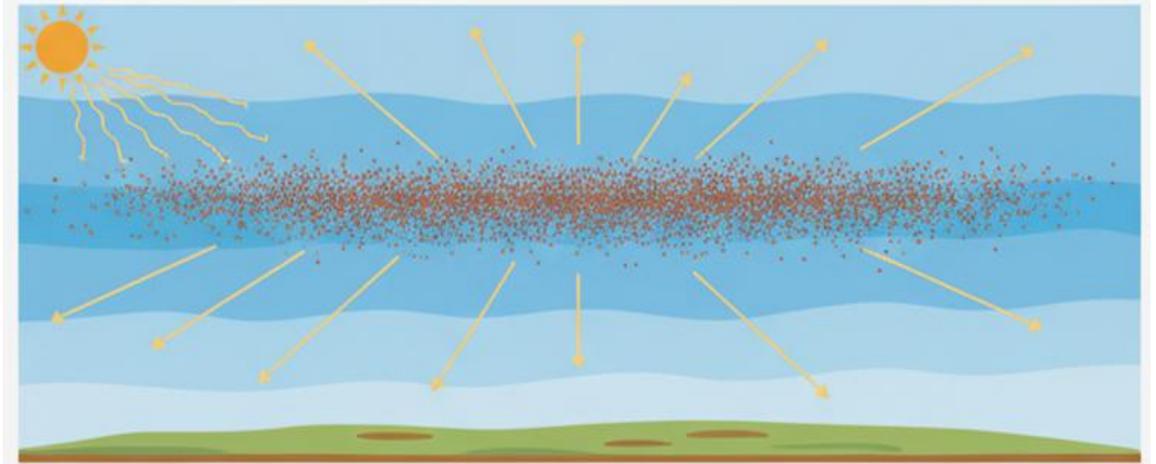
Models typically represents dust-radiation interaction through 3 optical properties:

Dust Optical Depth (DOD): $\tau > 0$

Single-Scattering Albedo (SSA): $0 < \omega < 1$

Asymmetry Parameter (ASY): $-1 < g < 1$

DOD quantifies the attenuation of radiation due to scattering and absorption by dust layers.



Background and Definitions

Dust optical properties

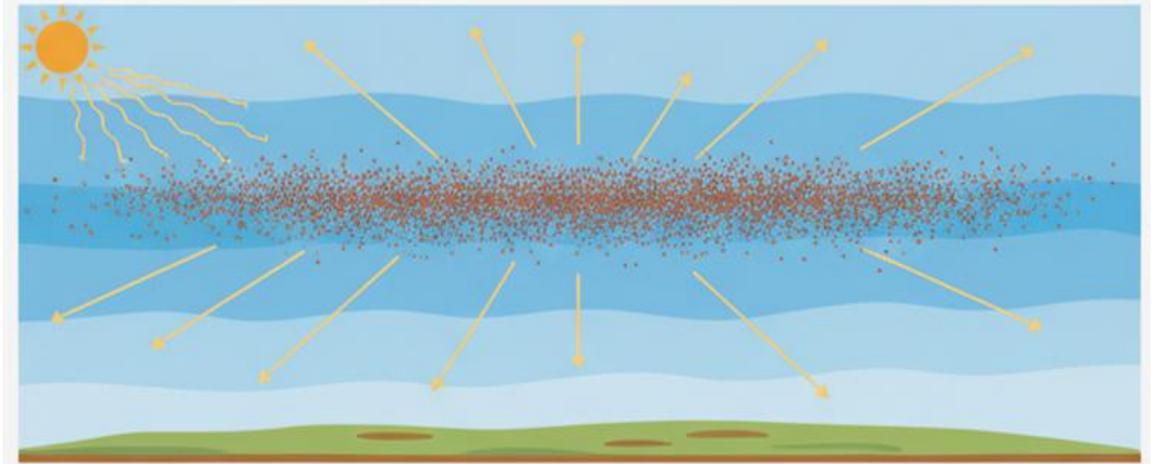
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Dust Optical Depth (DOD): $\tau > 0$

Single-Scattering Albedo (SSA): $0 < \omega < 1$

Asymmetry Parameter (ASY): $-1 < g < 1$

SSA quantifies the fraction of scattered radiation with respect to the total extinct radiation.



Background and Definitions

Dust optical properties

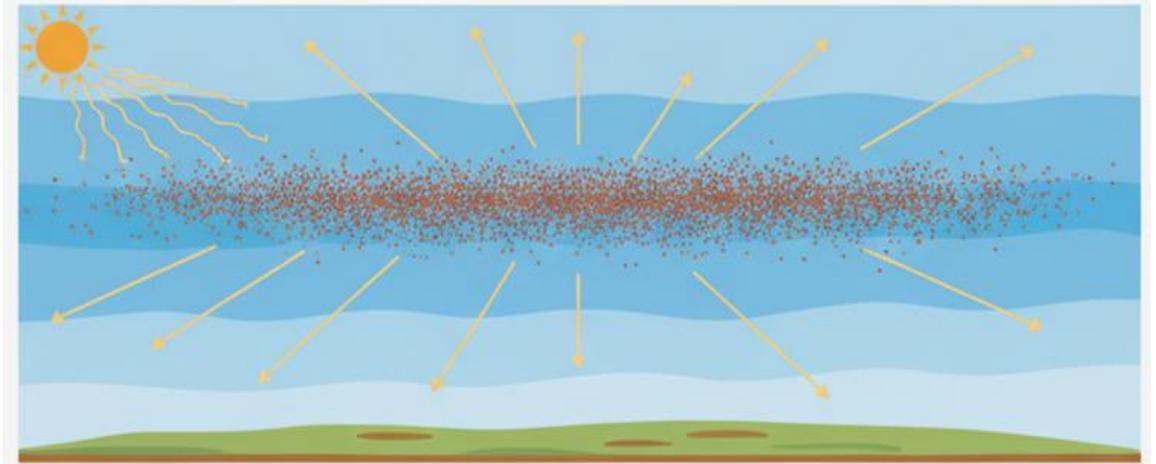
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Single-Scattering Albedo (SSA): $0 < \omega < 1$

Asymmetry Parameter (ASY): $-1 < g < 1$

ASY measures the dominant semi-integral direction of scattering (backward vs. forward).



Background and Definitions

Dust physical properties

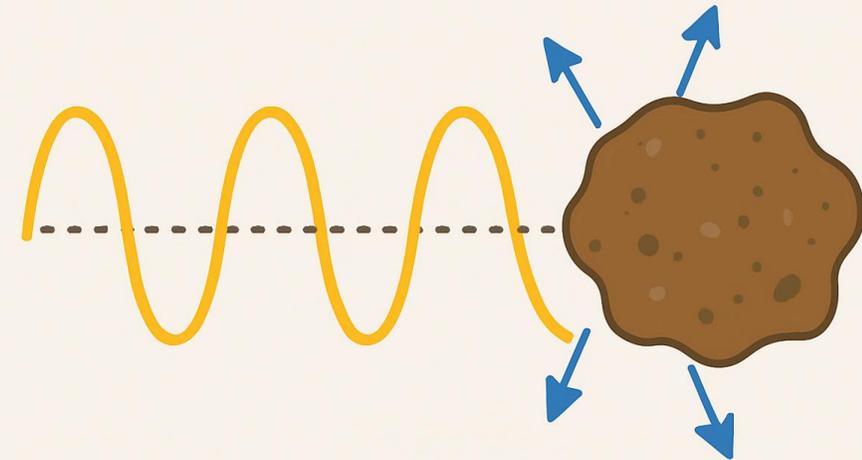
Optical properties are calculated with scattering codes taking particle physical properties as inputs:

Size parameter: $x = \pi d / \lambda$

Complex refractive index (CRI): $\tilde{n} = n + ik$

Dust particle shape

Bulk optical properties are functions of the particle size distribution (PSD) and spectral bands.



Background and Definitions

Dust physical properties

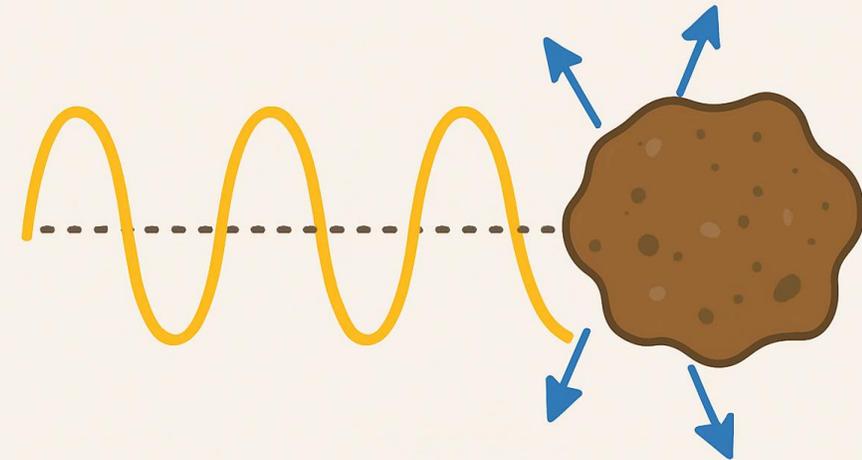
Optical properties are calculated with scattering codes taking particle physical properties as inputs:

Size parameter: $x = \pi d / \lambda$

Complex refractive index (CRI): $\tilde{n} = n + ik$

Dust particle shape

CRI is defined by the mineral composition:
imaginary part (IRI) determines the absorption.



Background and Definitions

Dust physical properties

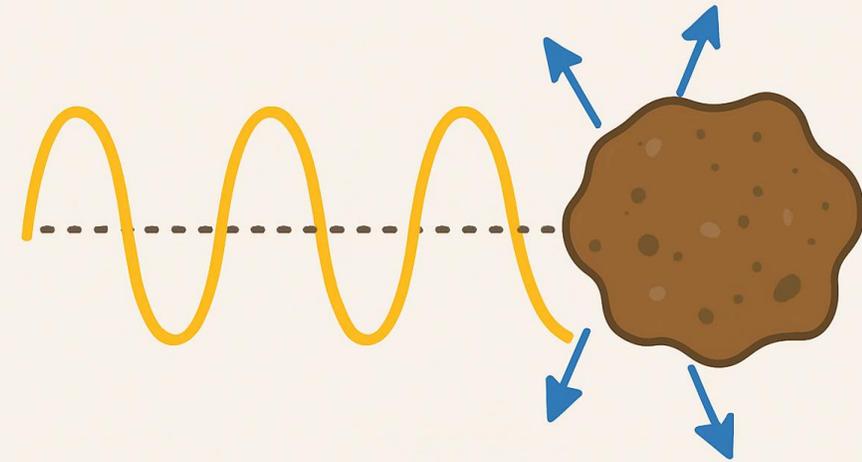
Optical properties are calculated with scattering codes taking particle physical properties as inputs:

Size parameter: $x = \pi d / \lambda$

Complex refractive index (CRI): $\tilde{n} = n + ik$

Dust particle shape

Typically spherical shape is assumed for dust; higher asphericity approximates irregular shapes.



Modeling Challenges

Uncertainty affecting all aspects of dust physical representation is a key challenge to an accurate modeling of dust-radiation interaction in climate models.

I. PARTICLE SIZE DISTRIBUTION

- Uncertain dust-cycle processes
- Underestimated coarse dust

II. COMPLEX REFRACTIVE INDEX

- Soil mineralogy of dust sources
- Mixing of mineral indices

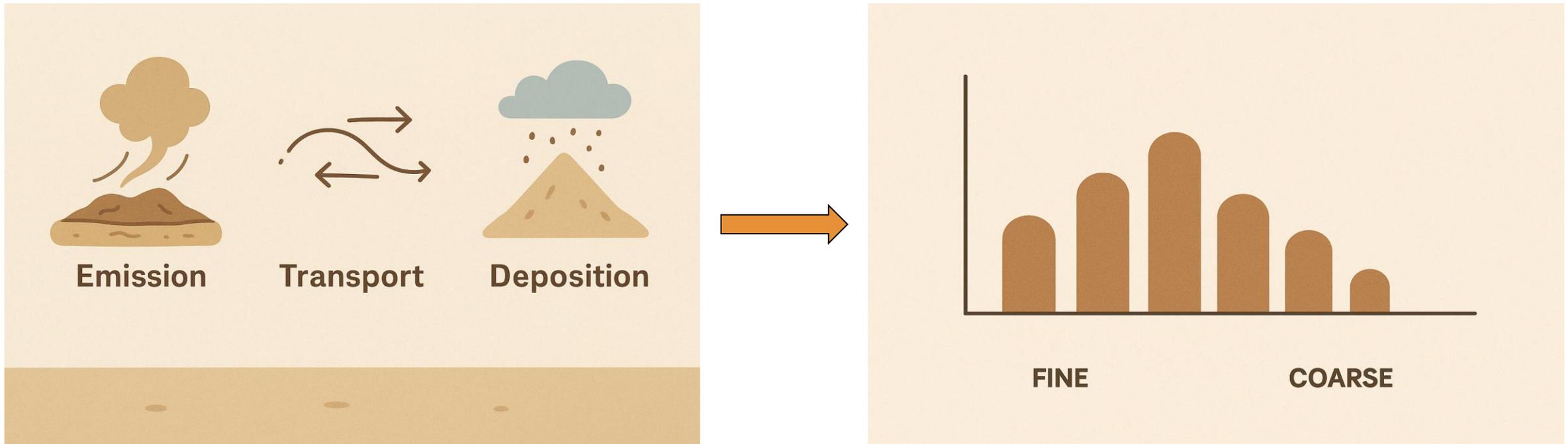
III. DUST PARTICLE SHAPE

- Global shape distributions
- Non-spherical scattering data

Particle Size Distribution

Uncertain dust-cycle processes

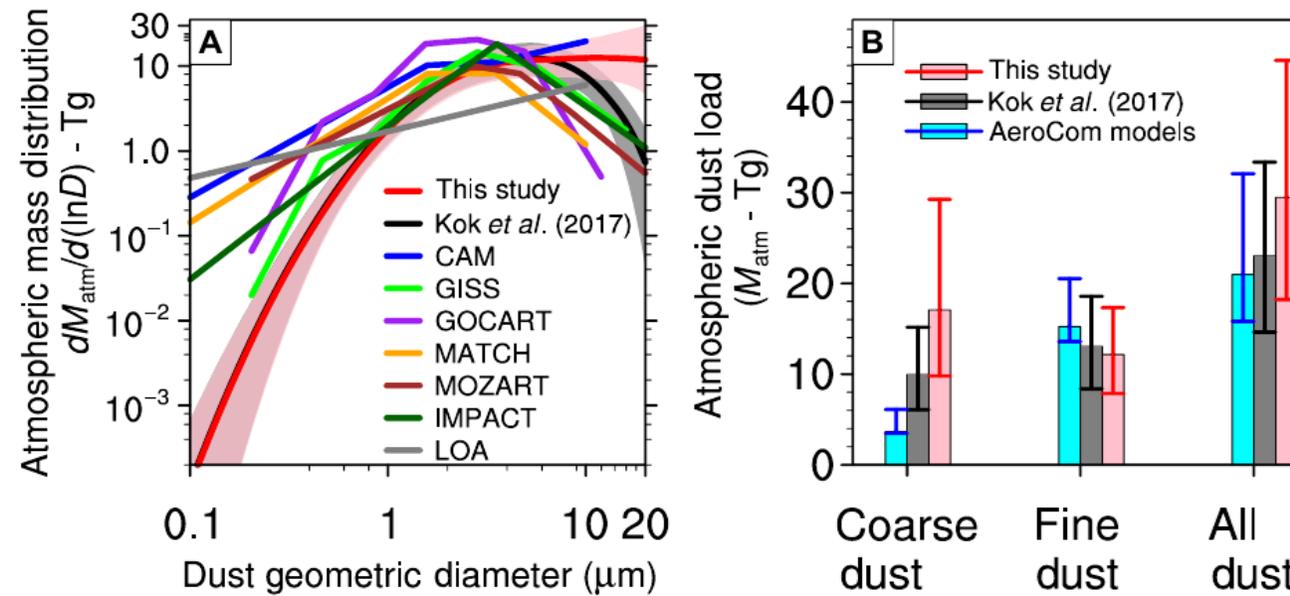
Emission, transport, dry and wet deposition determine mass size distribution in model simulations.



Particle Size Distribution

Underestimated coarse dust

Using observational constraints, Adebisi and Kok (2020) showed that models underestimate coarse dust:





Complex Refractive Index

Soil mineralogy of dust sources

Iron oxides are key minerals because absorb solar radiation (Di Biagio et al., 2019: DB19).

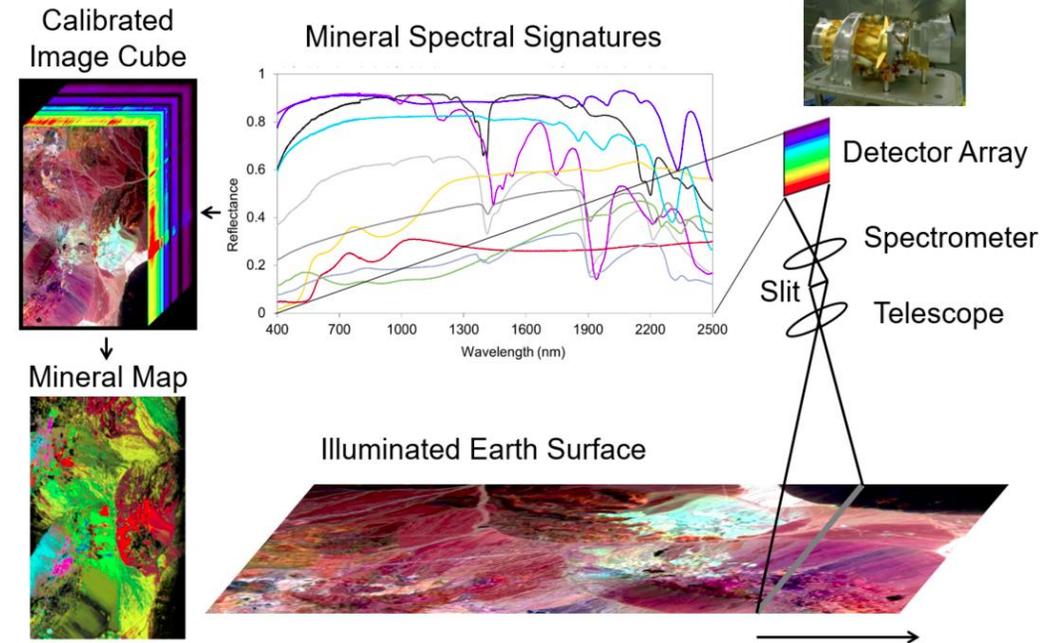
Complex Refractive Index

Soil mineralogy of dust sources

Traditional soil maps rely on a limited number of observations (Claquin et al., 1999; Journet et al., 2014).

NASA EMIT Mission (Green et al., 2023)

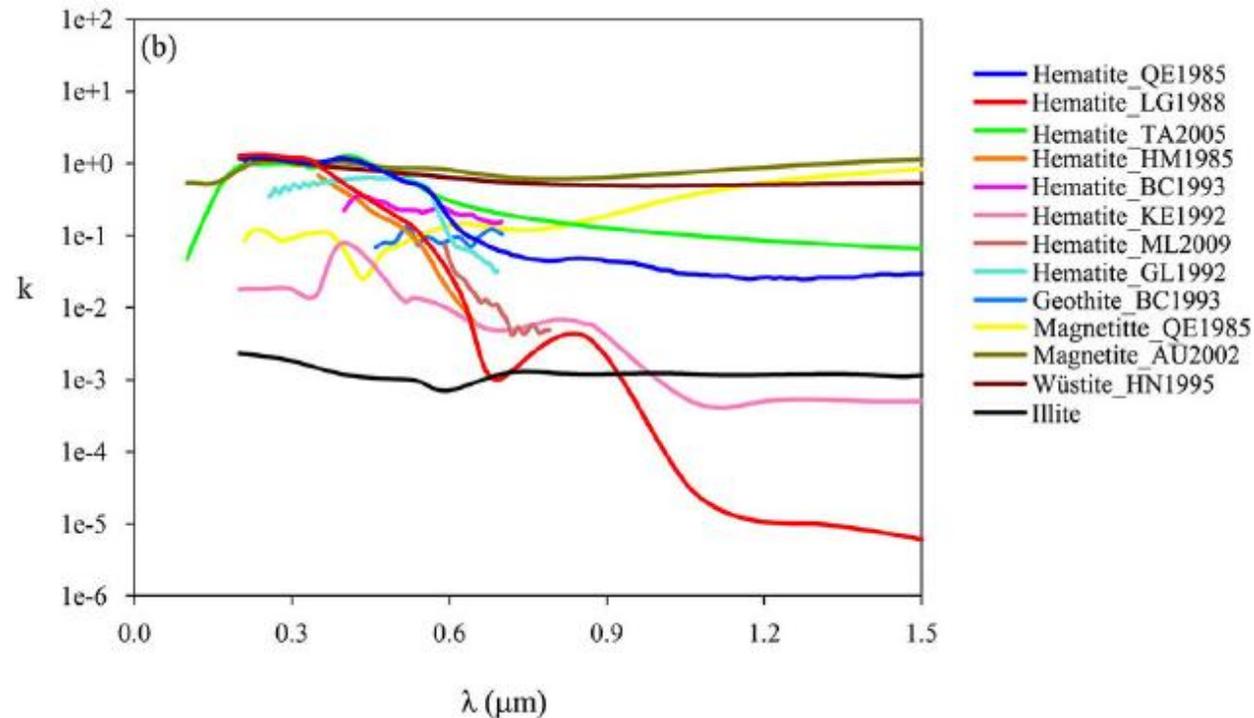
- Imaging spectroscopy from ISS.
- Surface reflectances (VIS-NIR).
- Spectral signatures of minerals.
- Soil mineralogy from spectral abundances.
- Spatial aggregation to model resolution.



Complex Refractive Index

Mixing of mineral indices

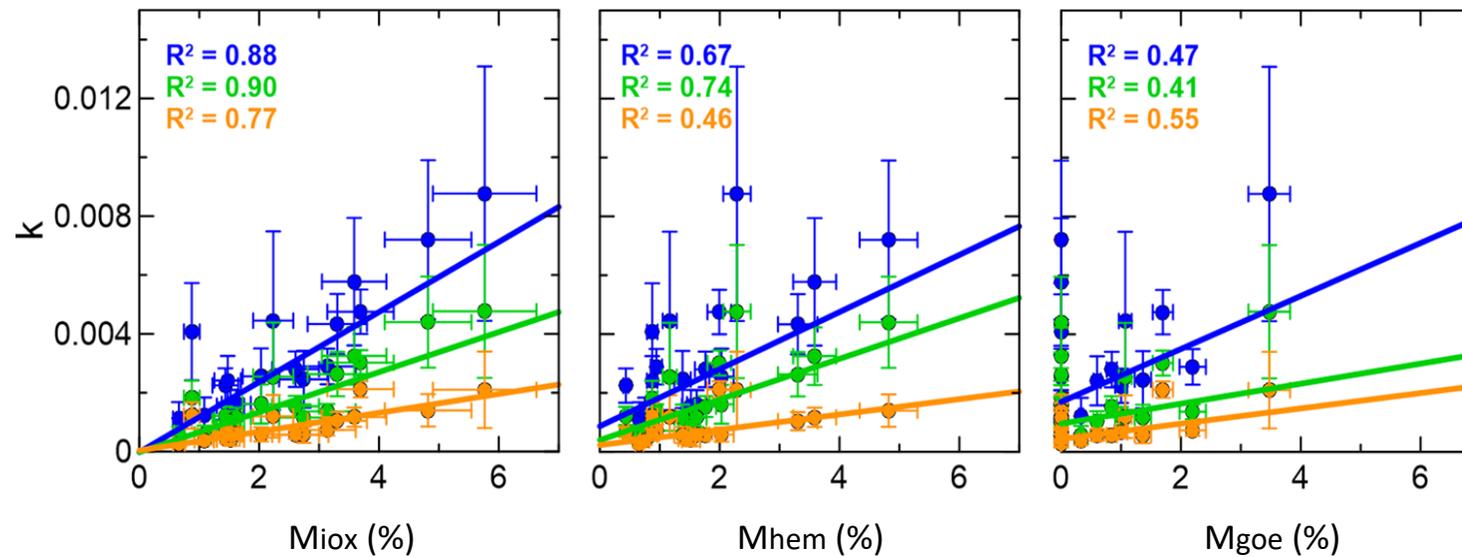
Estimates of imaginary index of iron oxides from the literature are strongly uncertain (Zhang et al., 2015):



Complex Refractive Index

Mixing of mineral indices

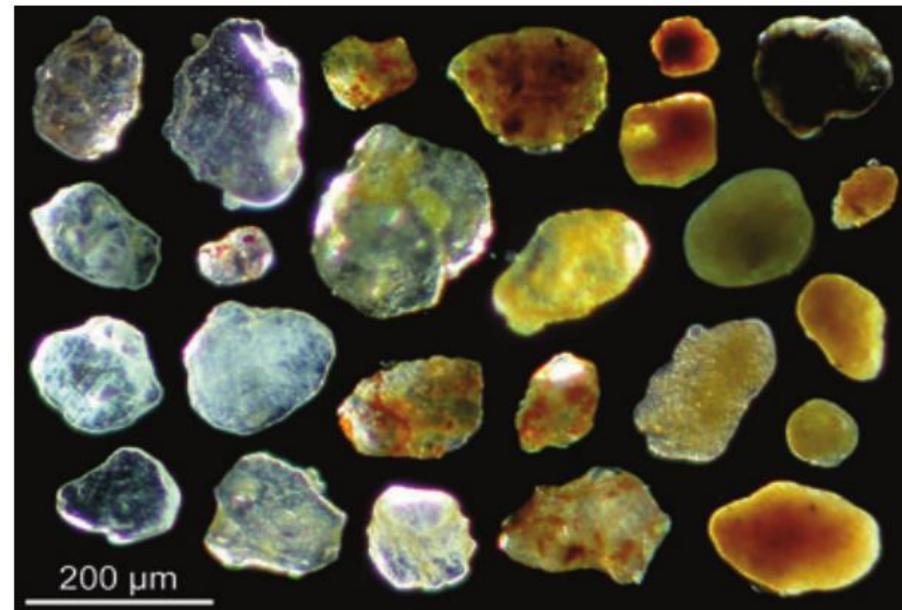
Empirical relationships of dust imaginary index to iron oxides allows new estimates (Di Biagio et al., 2019):



Dust Particle Shape

Global shape distributions

Natural dust particles are observed to have strongly irregular shapes (Kalashnikova and Sokolik, 2004):

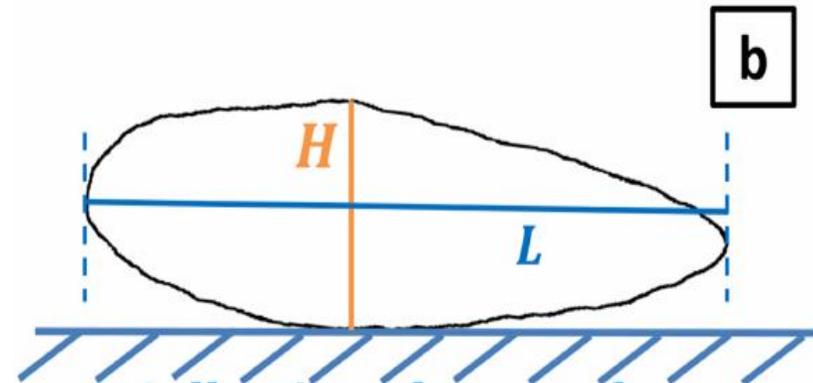
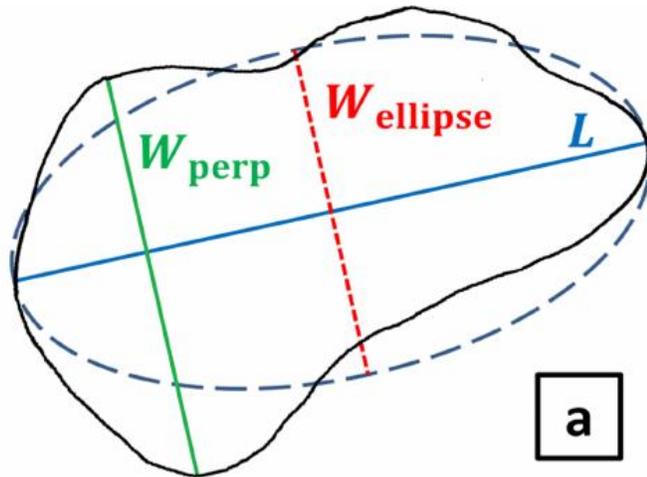


Kandler et al. (2009)

Dust Particle Shape

Global shape distributions

Globally representative ellipsoid distributions were fitted to observed shapes (Huang et al., 2020: HG20):



Dust Particle Shape

Non-spherical scattering databases

Shape models of increasing complexity have been developed for remote sensing and model applications:

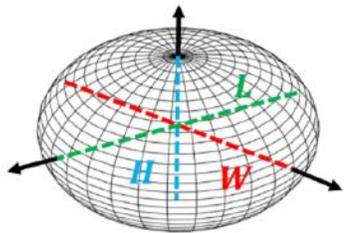
SPHEROIDS (e.g., Dubovik et al., 2006)

ELLIPSOIDS (Meng et al., 2010: MG10)

HEXAHEDRONS (Saito et al., 2021: ST21)

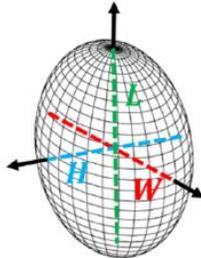
(c) Oblate spheroid:

$$L = W \geq H$$



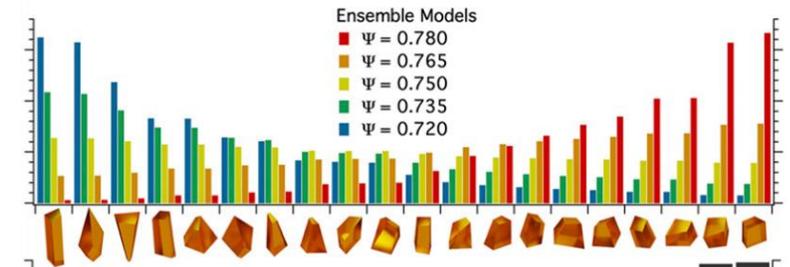
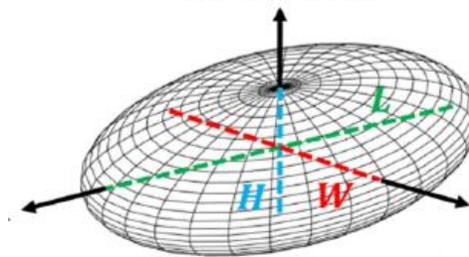
(b) Prolate spheroid:

$$L \geq W = H$$



(d) Tri-axial ellipsoid:

$$L \geq W \geq H$$

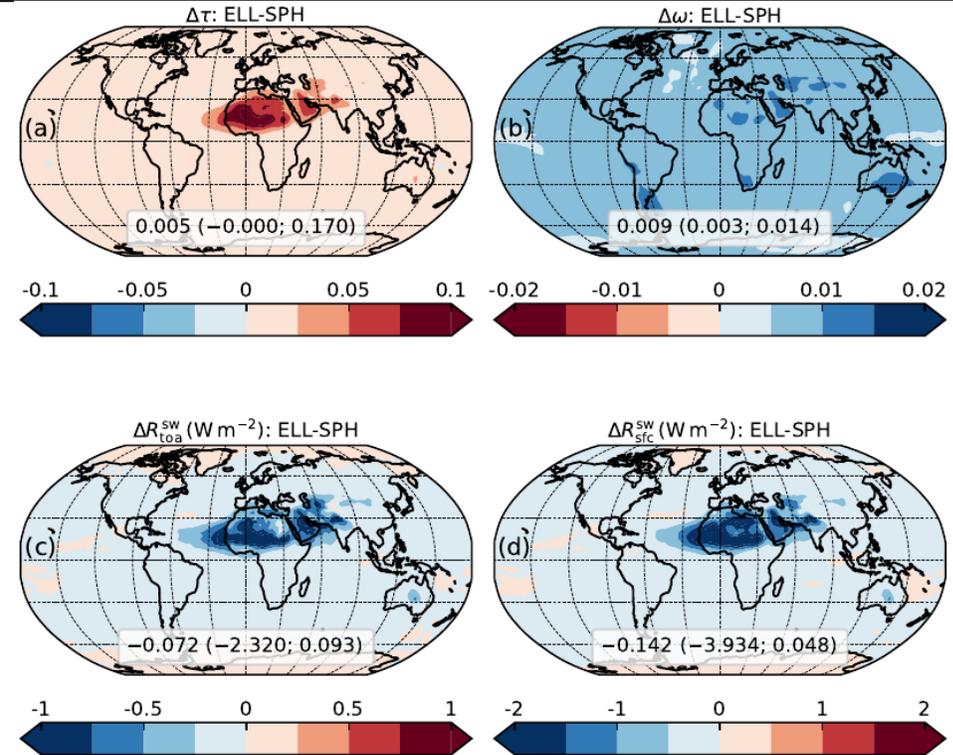
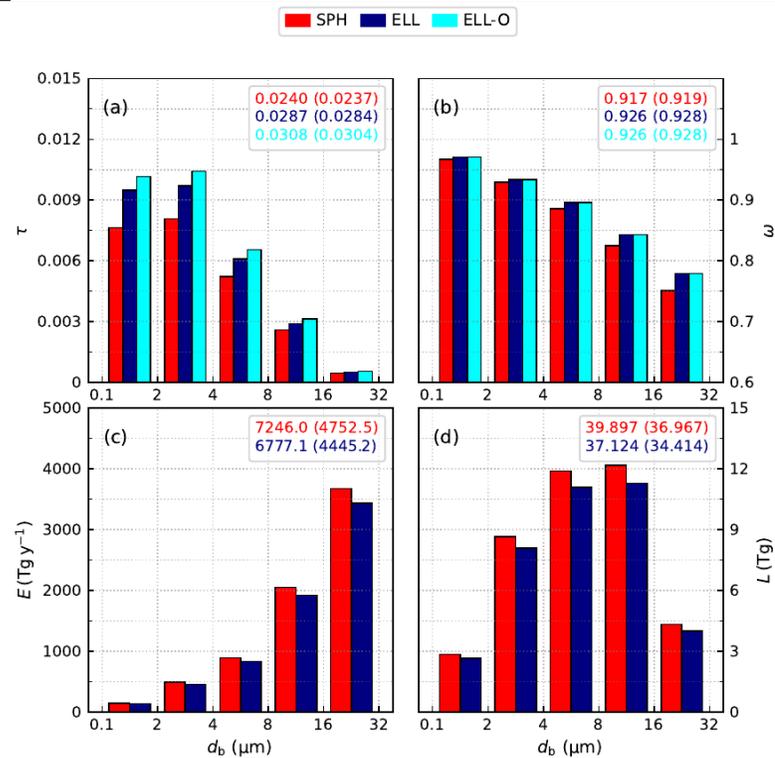


INCREASING SHAPE COMPLEXITY

Dust Particle Shape

Effect of non-sphericity on dust optical properties (Obiso et al., in rev.)

Ellipsoids (MG10) globally distributed according to observations (HG20) primarily increases extinction:



Assessment of dust SSA (Obiso et al., in prep.)

We merge as many observational constraints as possible into model calculations to improve the representation of dust-radiation interaction for climate applications.

I. PARTICLE SIZE DISTRIBUTION

- Retrieved PSD from AERONET
- Constrained PSD from DustCOMM
- Modeled PSD from MONARCH

II. COMPLEX REFRACTIVE INDEX

- Soil mineralogy from EMIT
- Host mixture (Scanza et al., 2015)
- IRI of iron oxides from DB19

Particle Size Distribution

AERONET (Sinyuk et al., 2020):

Retrieved column particle volume in 22 bins: $d = 0.1 - 30 \mu\text{m}$.

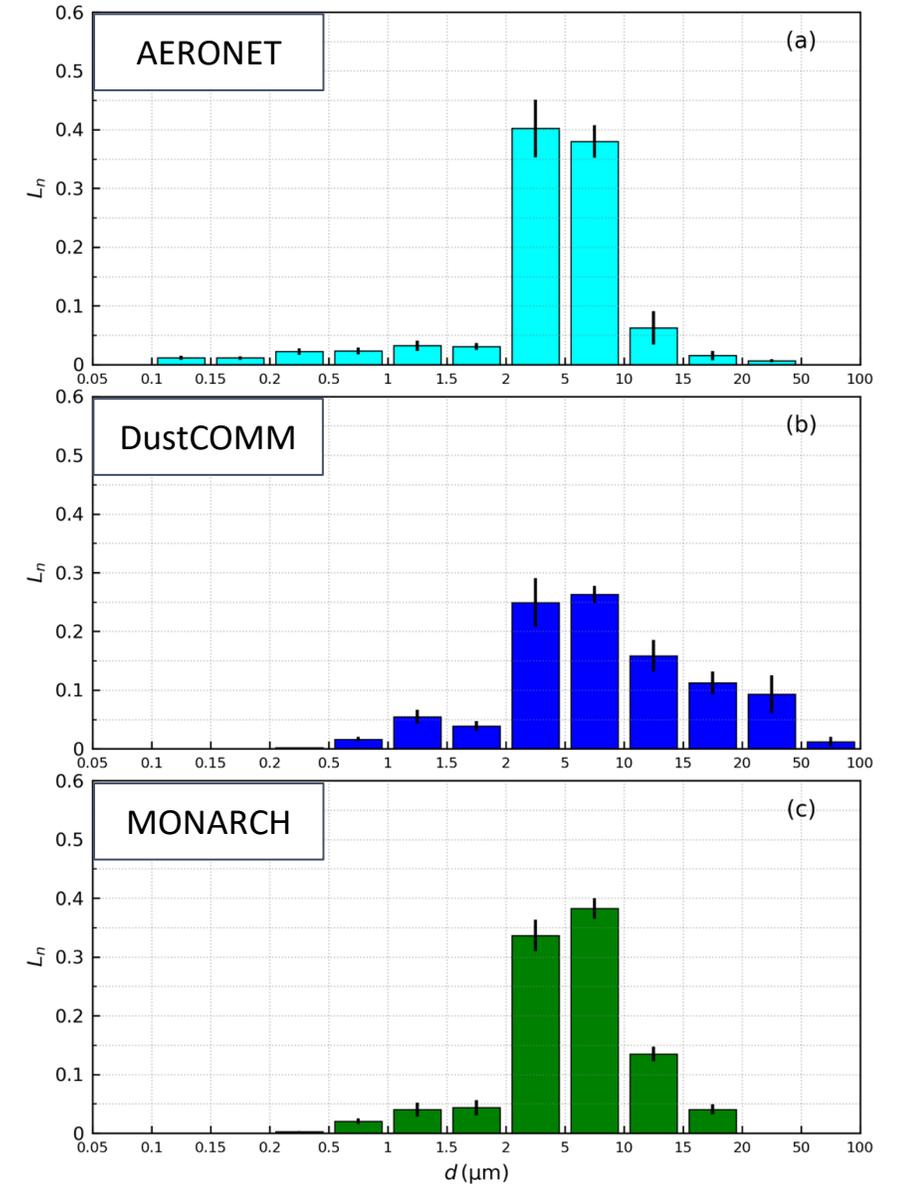
DustCOMM (Adebiyi et al., 2020)

Constrained column mass load in 9 bins: $d = 0.2 - 100 \mu\text{m}$.

MONARCH (Pérez et al., 2011; Gonçalves et al., 2023)

Modeled column mass load in 8 bins: $d = 0.2 - 20 \mu\text{m}$.

All PSDs mapped onto common bins

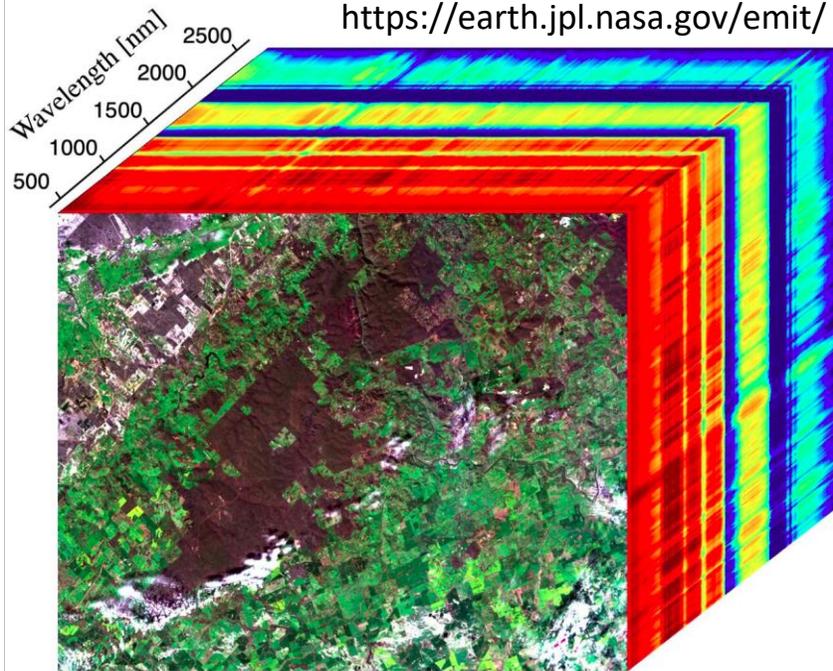


Complex Refractive Index

EMIT soil mineralogy

All minerals lumped into:
host + hematite + goethite

<https://earth.jpl.nasa.gov/emit/>

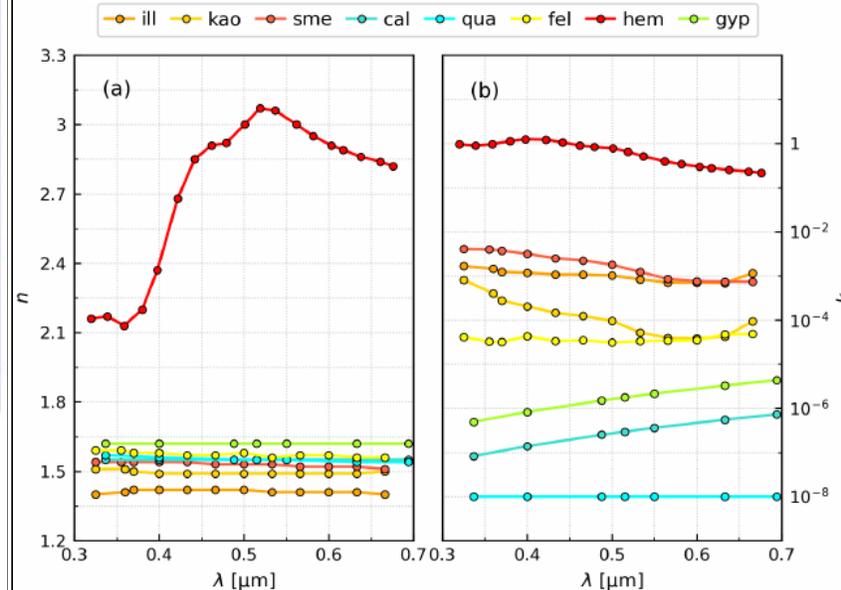


Host amalgam

Globally uniform CRI:

$$\tilde{n}_h = \sum_j \tilde{n}_j v_j$$

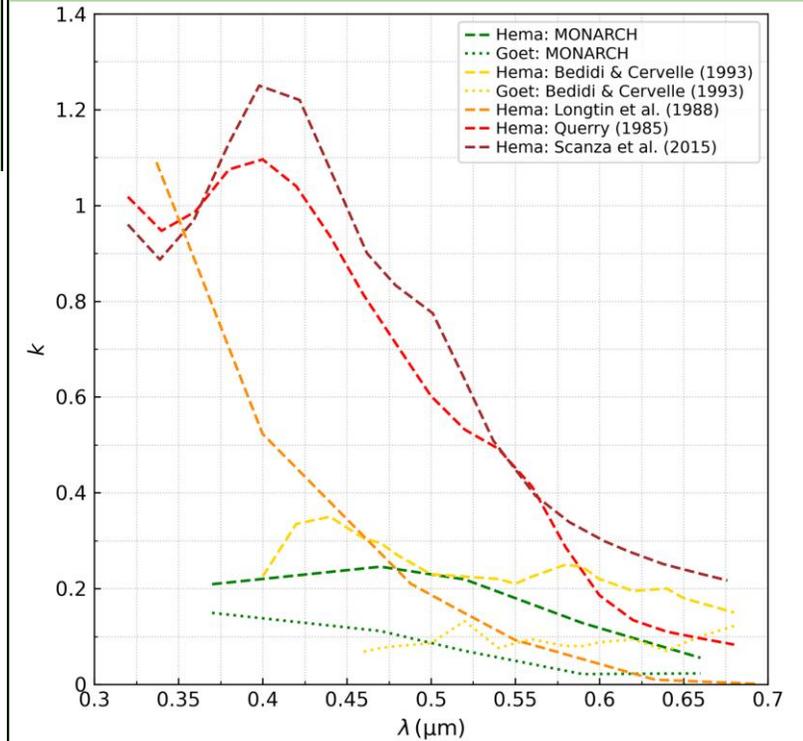
Scanza et al. (2015)



Iron oxides

Inversion of DB19 data:

$$k_{db} = k_h + S(\tilde{n}_h, \tilde{n}_i) v_{db}$$



Aeronet Selected Retrievals

Climatological monthly means

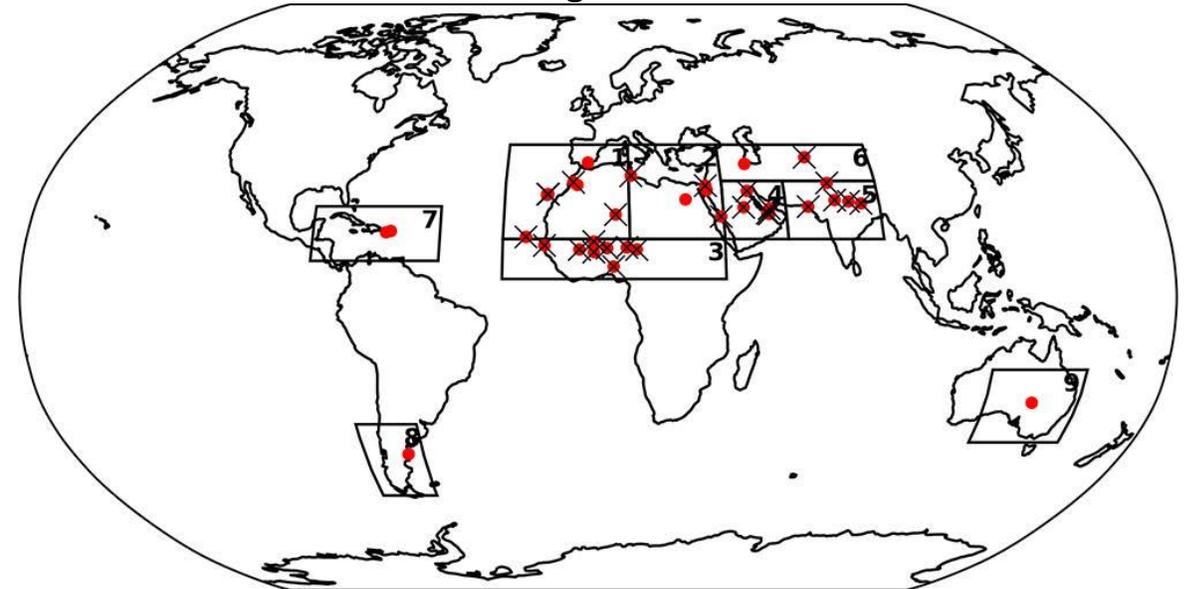
Strict filtering conditions identify dusty scenes (Obiso et al., 2024; Gonçalves et al., 2023):

Filtering conditions

(Dubovik et al., 2002; Schuster et al., 2016)

- Fine-volume fraction: $v_f < 0.10$
- Single-scattering albedo: $\omega_{675} > \omega_{440}$
- Mean imaginary index: $k_{675-870-1020} < 0.0042$

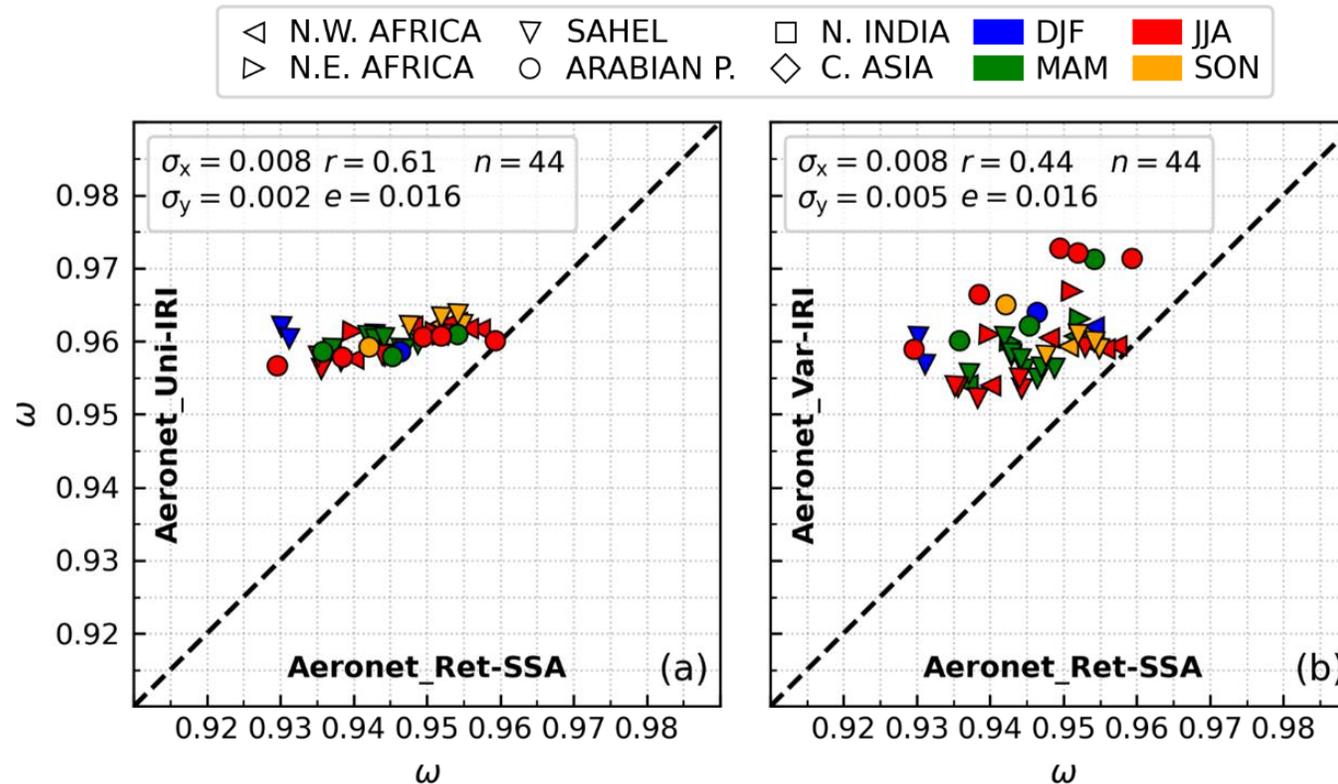
Months with 80+ measurements: 27 stations (x) in 6 dust regions.



Seasonal SSA in VIS band

AERONET PSD + EMIT-based uniform (a) and varying (b) IRI

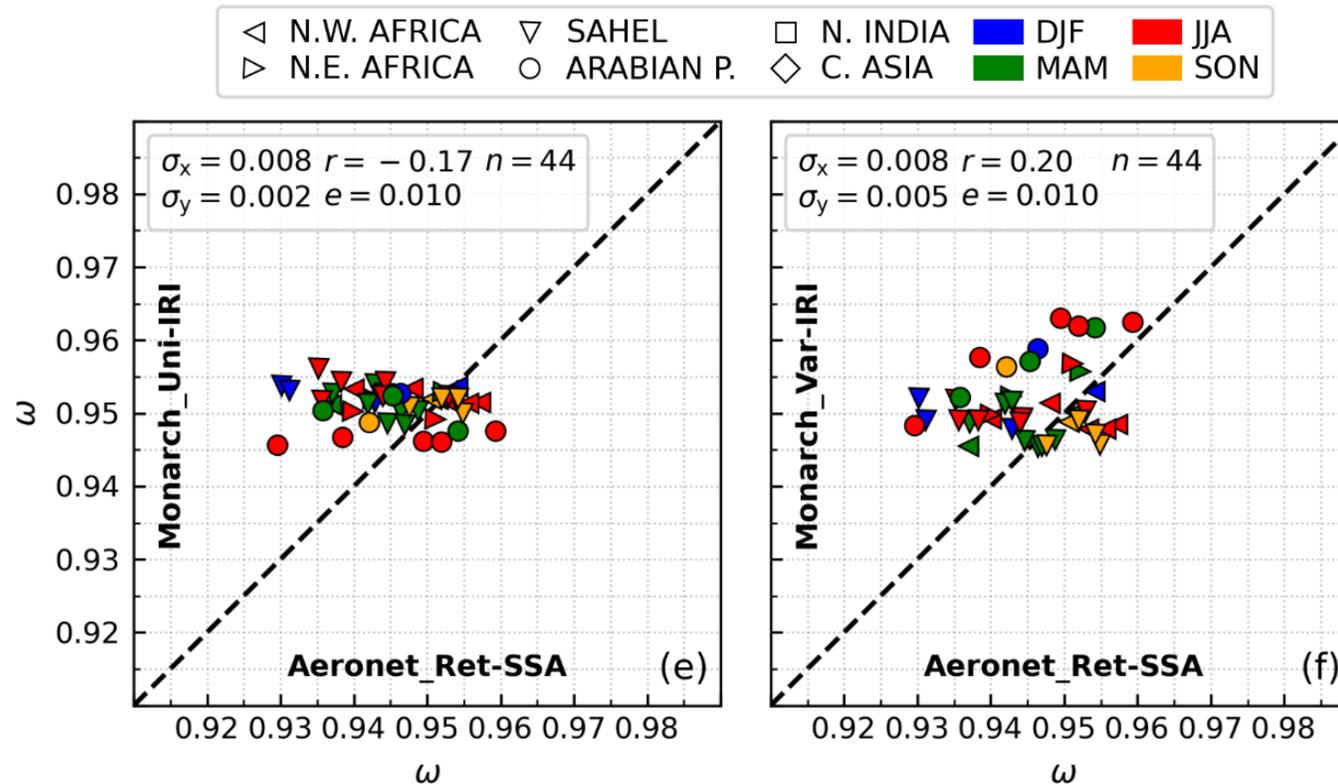
Regionally varying IRI increases the spread of dust absorption but “fine” PSD leads to overestimated SSA.



Seasonal SSA in VIS band

MONARCH PSD + EMIT-based uniform (a) and varying (b) IRI

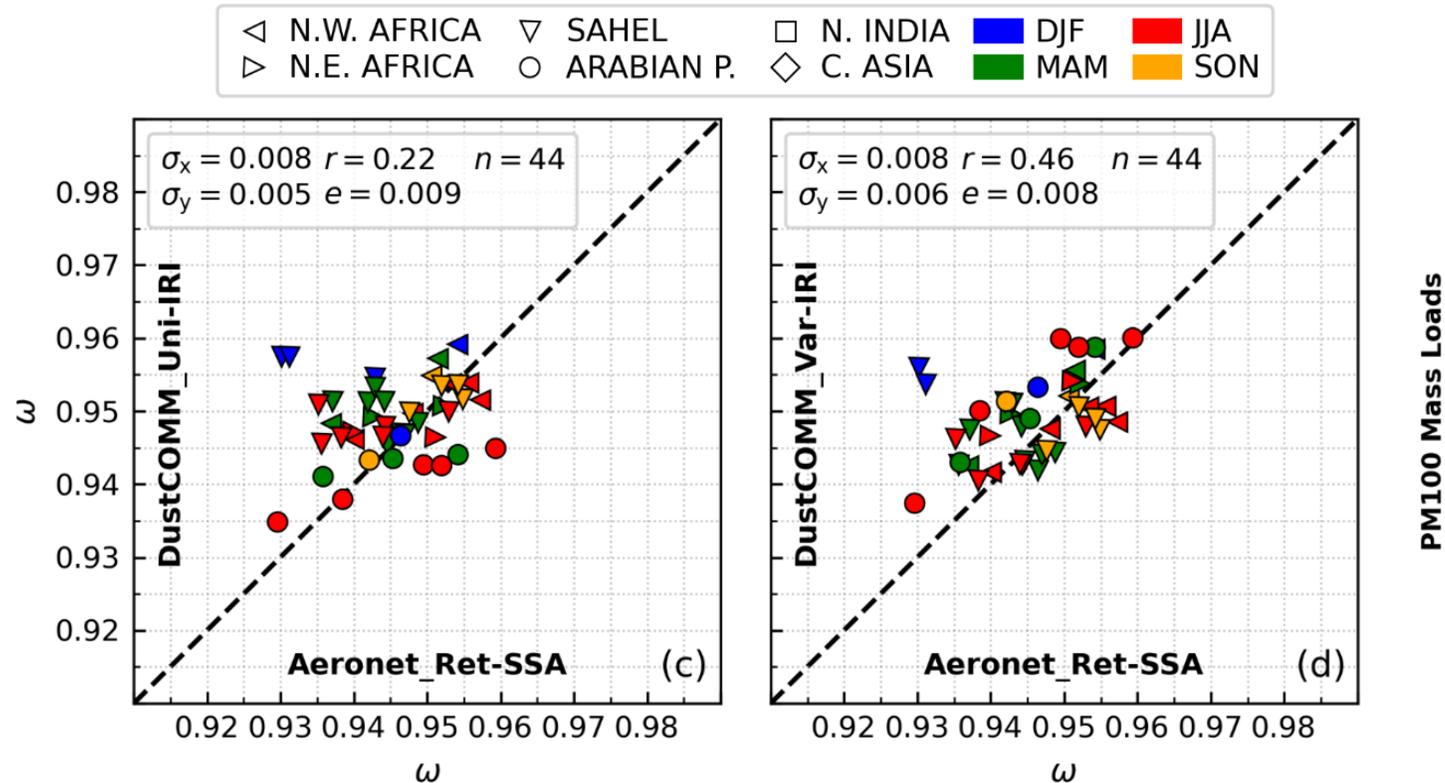
EMIT-based varying IRI increases spread, more consistent with observations, and improves correlation.



Seasonal SSA in VIS band

DustCOMM PSD + EMIT-based uniform (a) and varying (b) IRI

Varying IRI doubles correlation (already good with constrained PSD) and giant dust improves comparison.



Remaining uncertainties

PARTICLE SIZE DISTRIBUTION

- Most models still miss particles beyond 20 μm , whose impact appears to be important to dust SSA.
- Model dust-cycle processes are uncertain: hybrid calculations using constrained PSD may help.

COMPLEX REFRACTIVE INDEX

- Constraints on mineral CRIs from DB19 are limited by assumptions: spherical shape and bulk mineralogy.
- AERONET observations include non-dust species (despite filtering): small contamination may affect SSA.

DUST PARTICLE SHAPE

- Ellipsoidal scattering data from MG10 are incomplete according to HG20 (Obiso et al., in rev.).
- More complex shapes (e.g., hexahedrons) lack in globally representative distributions based on observations.



Impact of dust-radiation interactions on weather forecasting

8-15 April 2002 major dust outbreak over the Mediterranean

2 sensitivity experiments

CTR

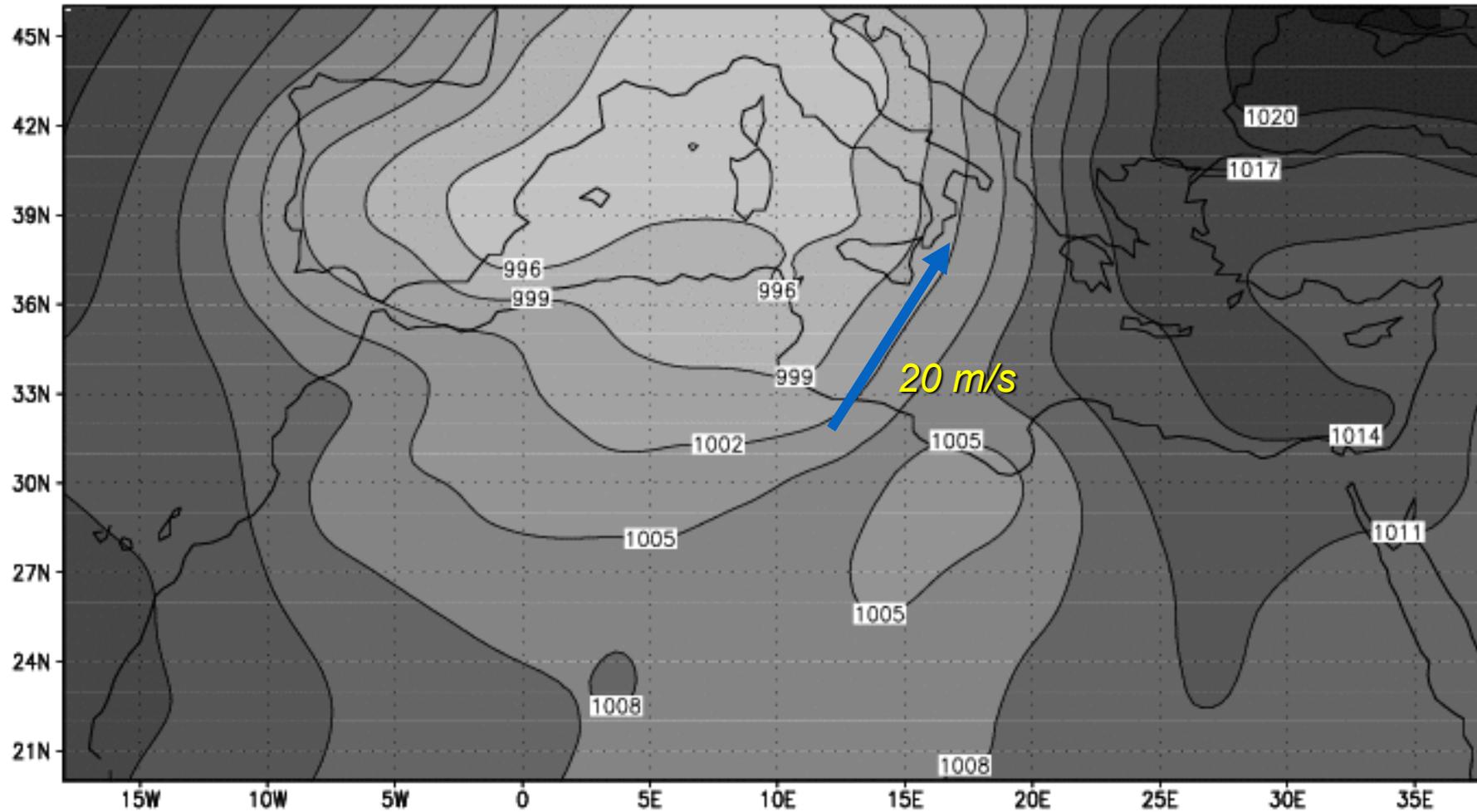
dust is considered as a dynamic tracer
without interaction with atmospheric
radiation

RAD

interaction between short- and
long-wave radiation and dust is included

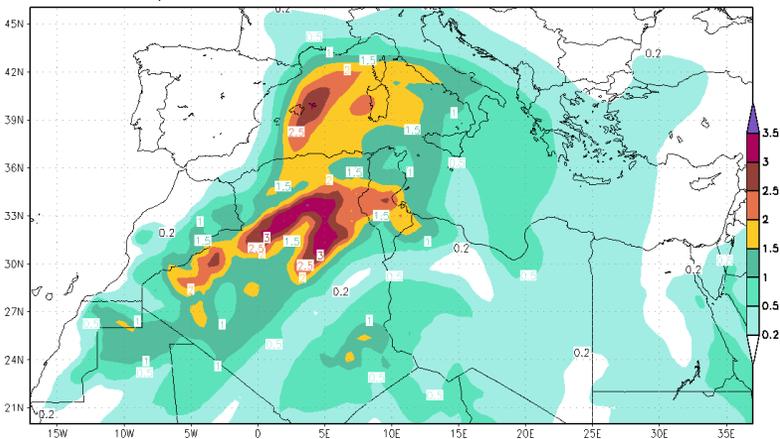
- ✓ Cold Start on 5 April 2002
- ✓ 50 km horizontal resolution
- ✓ 24 layers up to 15 km vertical

MSL pressure 12 April at 12 UTC

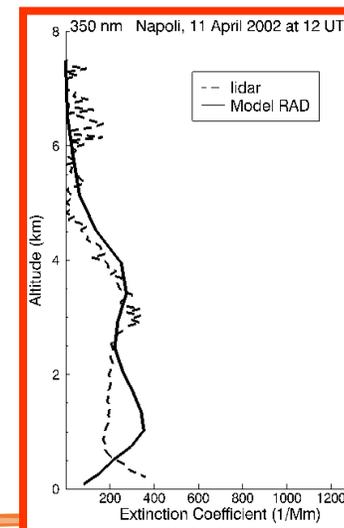
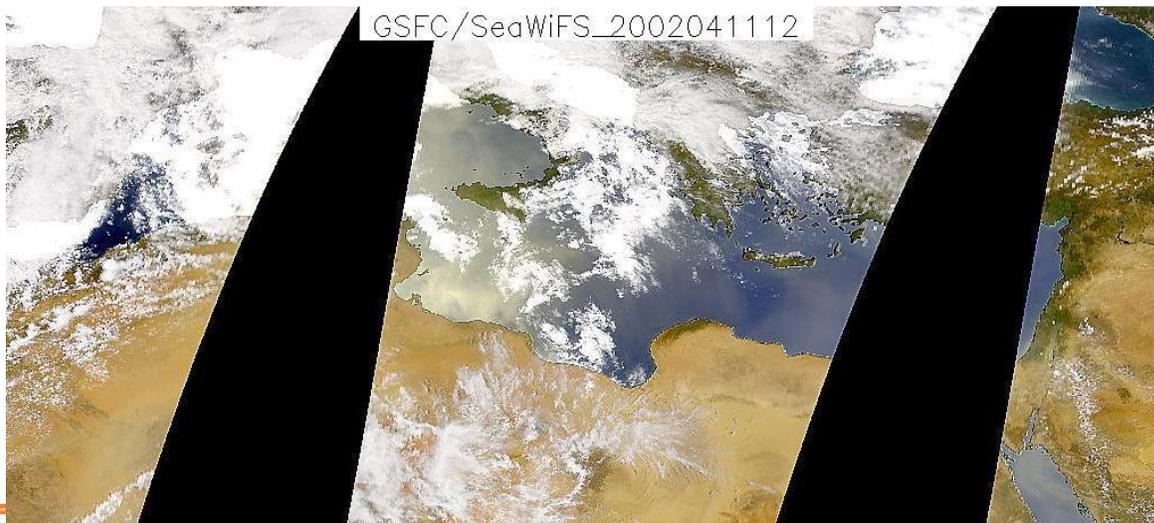
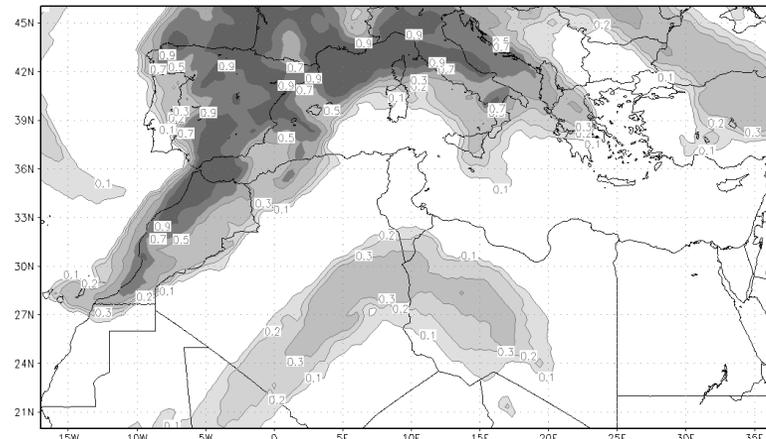


Comparison with observations

11 April 2002 12UTC OPTICAL DEPTH 550nm RAD



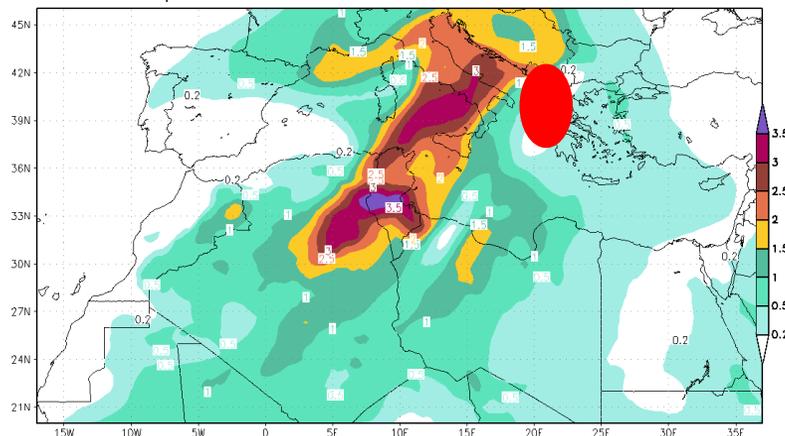
11 April 2002 12UTC CLOUD COVER RAD



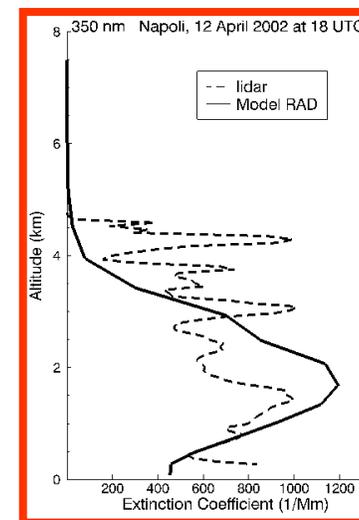
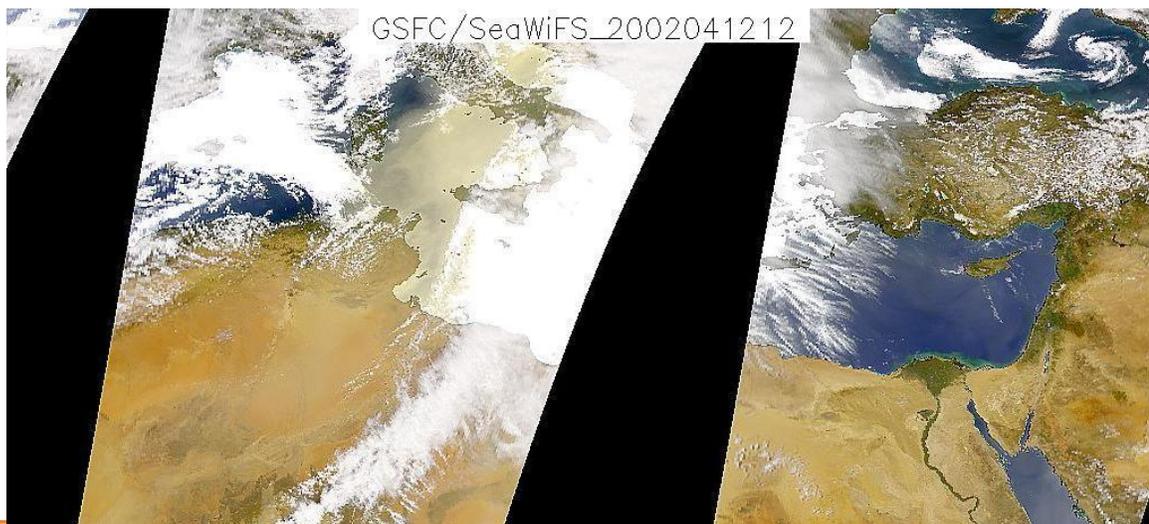
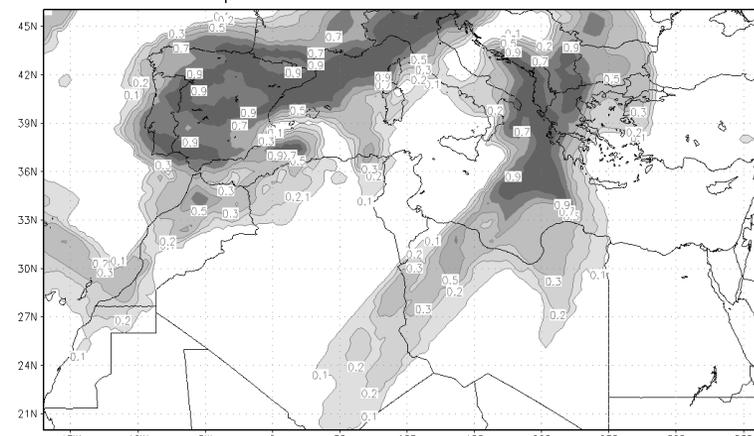
Napoli Raman Lidar

Comparison with observations

12 April 2002 12UTC OPTICAL DEPTH 550nm RAD



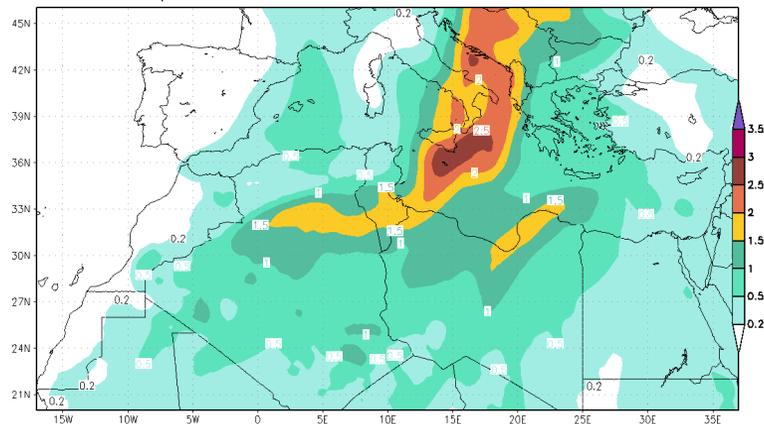
12 April 2002 12UTC CLOUD COVER RAD



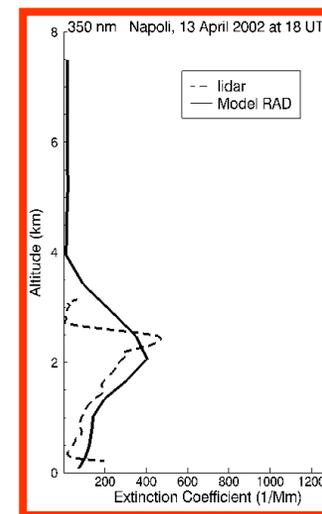
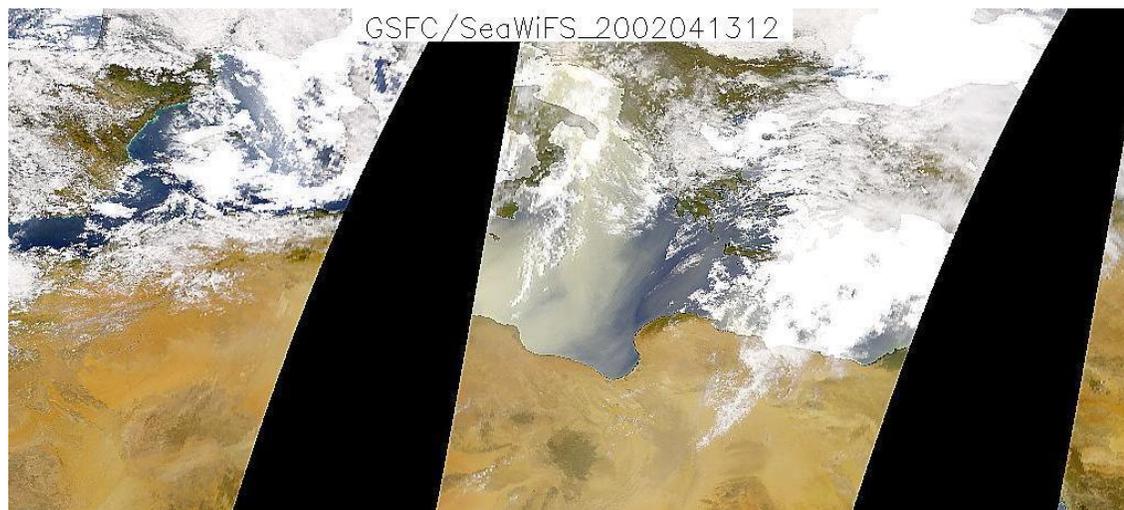
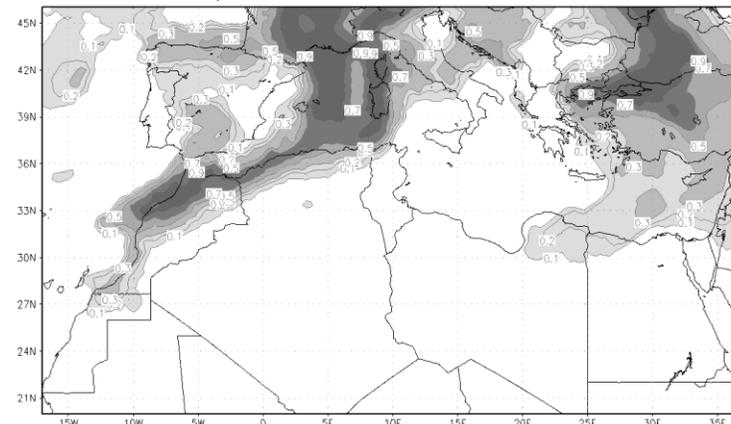
Napoli Raman Lidar

Comparison with observations

13 April 2002 12UTC OPTICAL DEPTH 550nm RAD



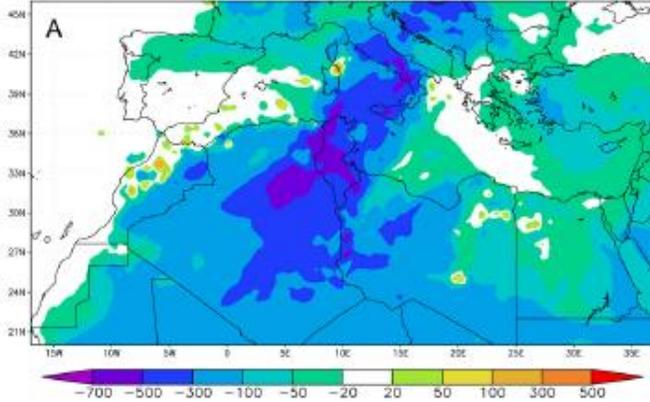
13 April 2002 12UTC CLOUD COVER RAD



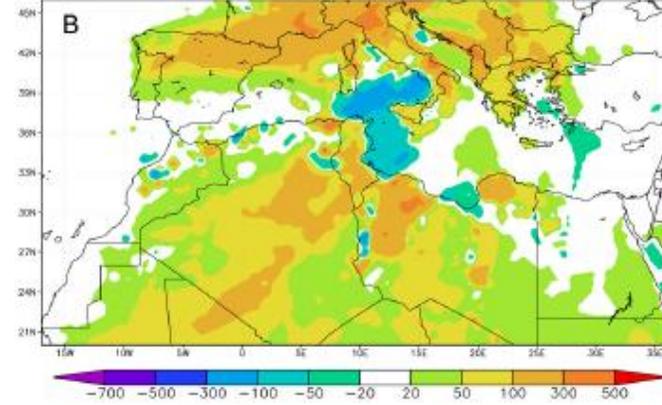
Napoli Raman Lidar

Instantaneous DRE

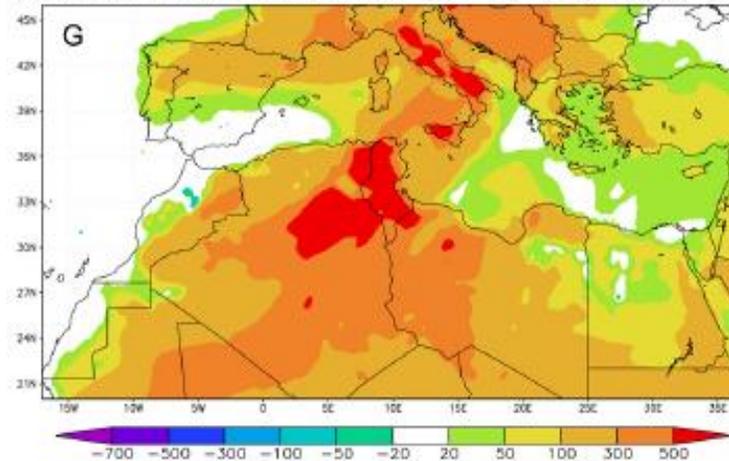
INSTANTANEOUS NET SURF. FORC. (W/m^2) 12 April 2002 12UTC



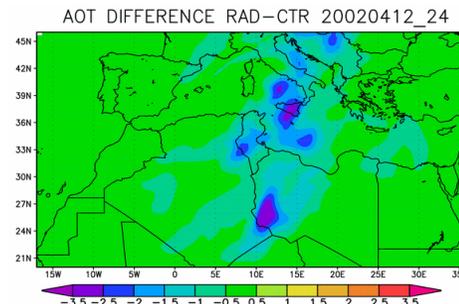
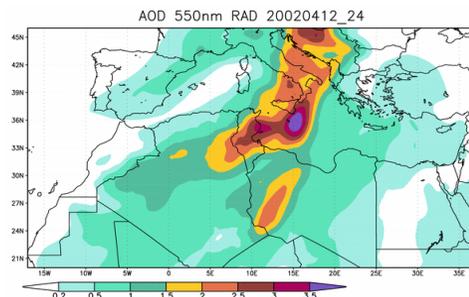
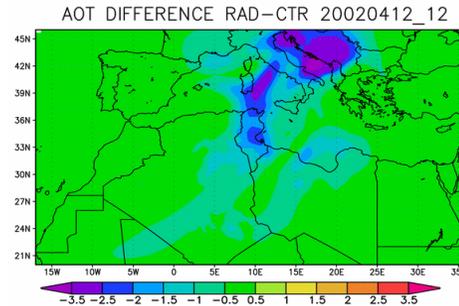
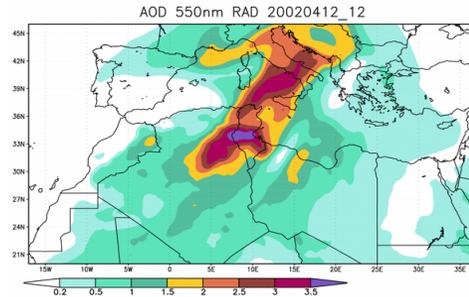
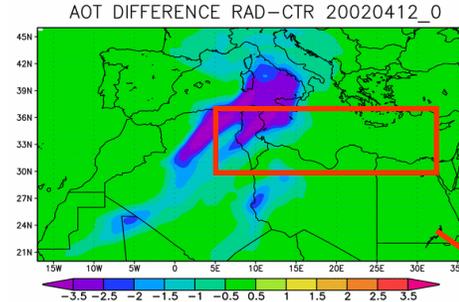
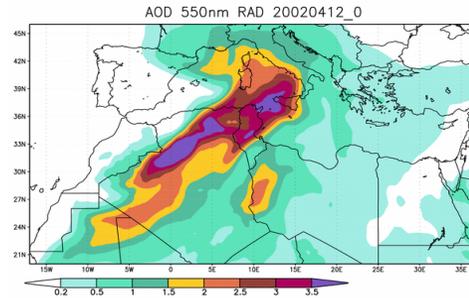
INSTANTANEOUS NET TOA FORC. (W/m^2) 12 April 2002 12UTC



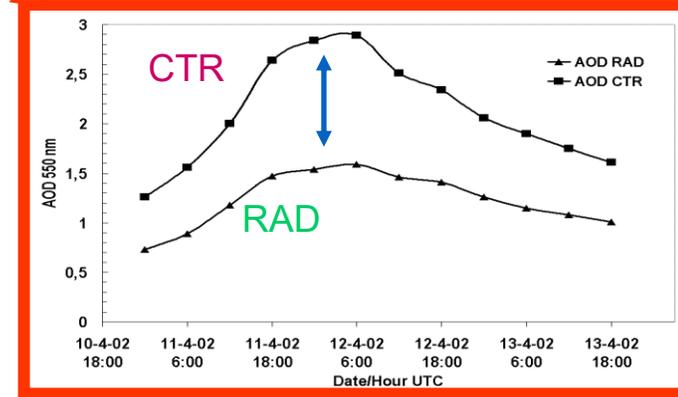
INSTANTANEOUS NET ATMOS. FORC. (W/m^2) 12 April 2002 12UTC



Negative feedback upon dust emission



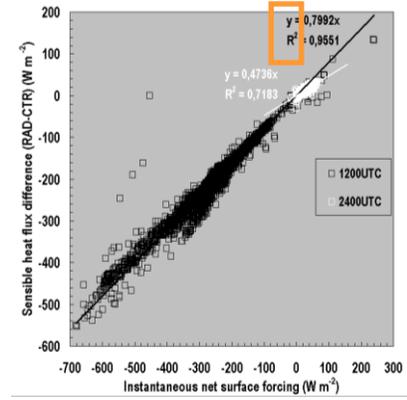
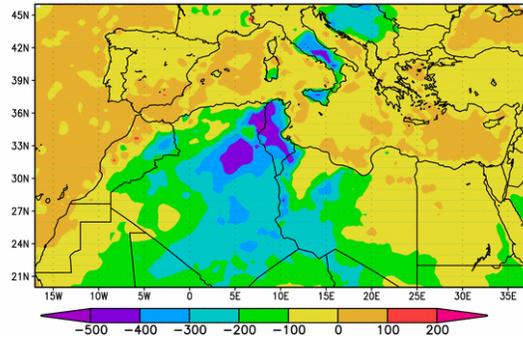
- High spatial correlation between CTR and RAD: 0.95
- **35-45 %** reduction of the average AOD over the area covered by the main dust plume



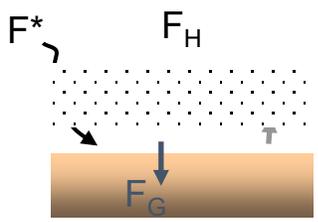
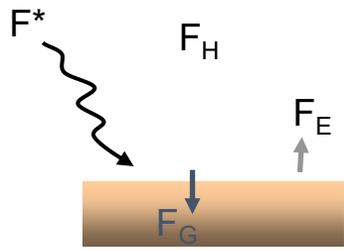
- Strong average negative feedback upon dust emission by dust radiative forcing

Negative feedback upon dust emission

SENS. HEAT FLUX DIFFERENCE RAD-CTR 20020412

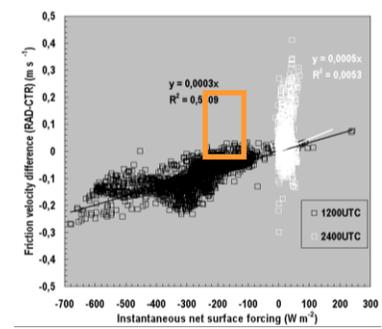
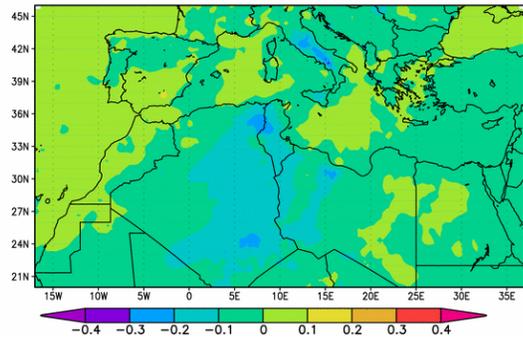


- Negative surface forcing mainly balanced by reduction in turbulent sensible heat flux into the atmosphere



- In RAD mixing is reduced (more stability) and downward momentum is reduced

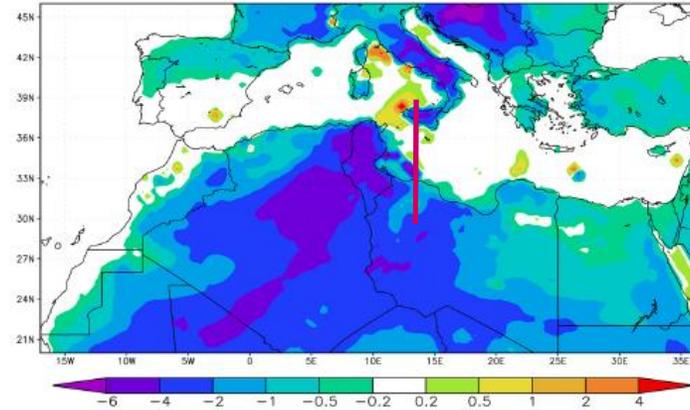
RICTION VELOCITY DIFFERENCE RAD-CTR 20020412



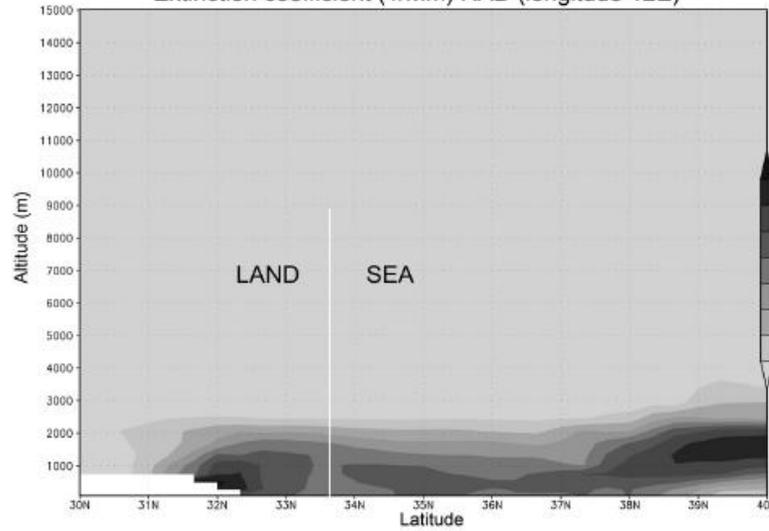
- Friction velocity significantly correlates with surface forcing during the day

Effects on temperature

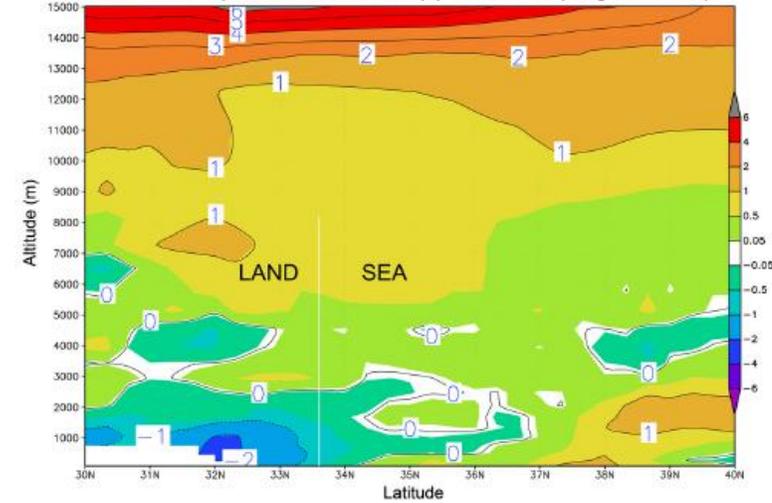
2m Temperature difference (k) RAD-CTR 12 April 2002 UTC



Extinction coefficient (1/Mm) RAD (longitude 12E)



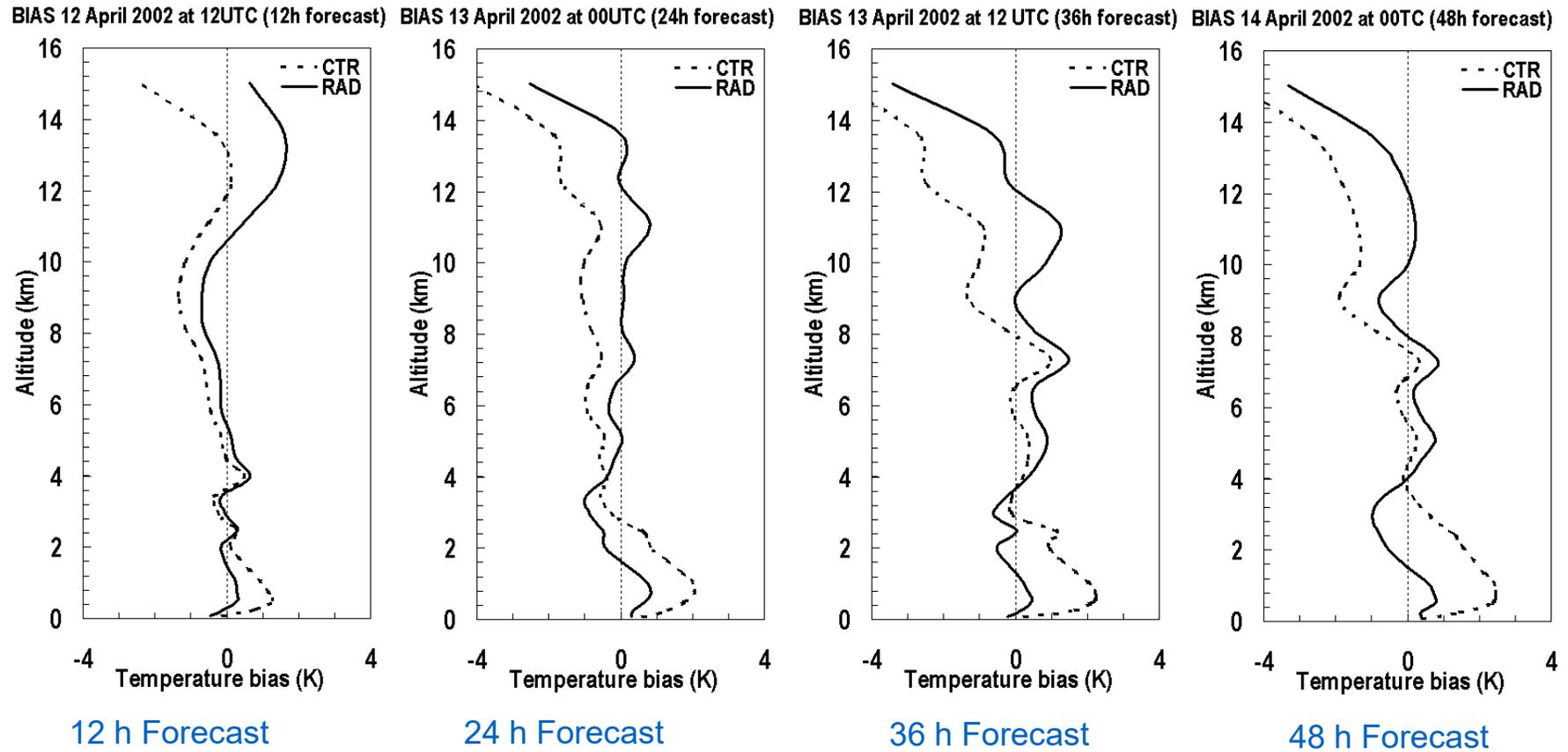
Vertical temperature difference (k) RAD-CTR (longitude 12E)



Improvements in temperature forecasts

Atmospheric temperature forecasts

RAD and CTR evaluated against objective analysis data



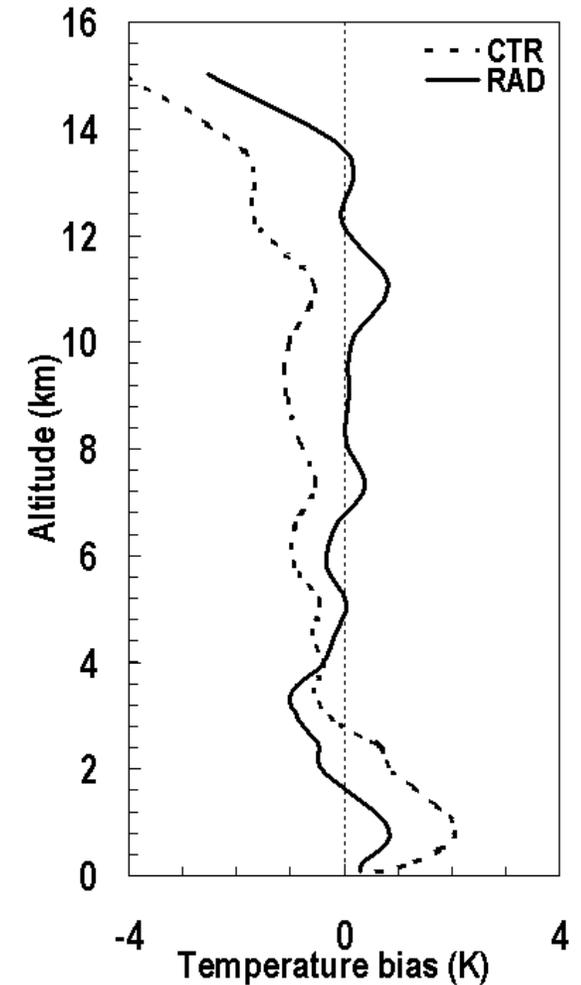
Improvements in temperature forecasts

- ✓ RAD improves in most of the atmospheric layers
- ✓ Reduction of the low level warm bias
- ✓ Reduction of the upper level cold bias

WHY?

- ✓ Reduction of sensible heat flux reduces PBL temperature
- ✓ Dust redistributes heat from the surface and near surface to higher levels of the atmosphere

BIAS 13 April 2002 at 00UTC (24h forecast)



Improvement in SLP forecasts

Sea-level pressure forecasts

- ✓ Positive differences over land

WHY?

Colder atmosphere over land suppresses convection and increases subsidence

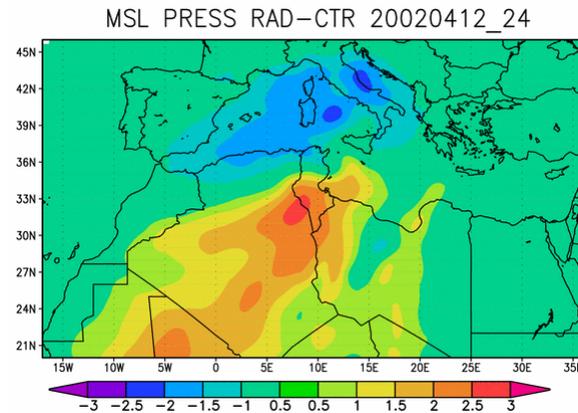
- ✓ Negative differences over sea

WHY?

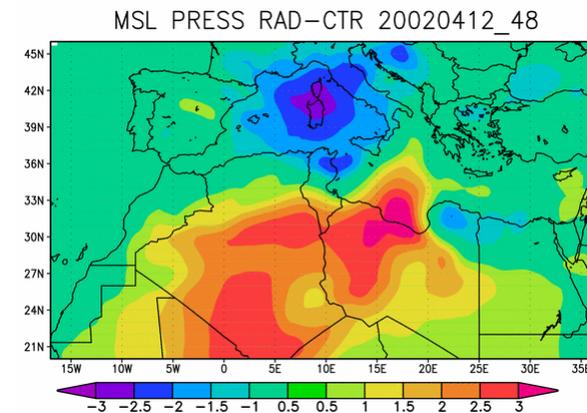
Dynamical compensation in the direction of the flow

- ✓ RAD significantly improves the forecast

00UTC +24h



00UTC + 48h



RAD and CTR evaluated against objective analysis data

Root mean square error

| | Forecast time | | | |
|------------|---------------|------|------|------|
| | 12 h | 24 h | 36 h | 48 h |
| RAD | 1.93 | 1.52 | 2.29 | 1.76 |
| CTR | 1.95 | 1.83 | 2.73 | 2.09 |



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European Union

THANK YOU

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