



# HETEAC-Flex Model: Comparative Evaluation of 6 Forward model configurations for Dust Cases using Ground-based Lidar Observations in Limassol

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a. Eratosthenes Centre of Excellence / b. Cyprus University of Technology / Leibniz Institute for Tropospheric Research

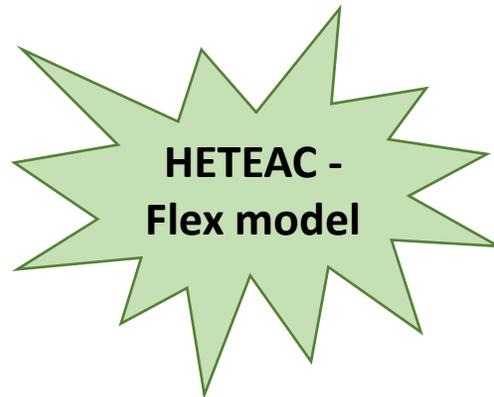
**Athina Savva, PhD student / Assistant researcher A'**

*Satellite image of Limassol,  
Sentinel -2  
Copernicus EU*

## Introduction



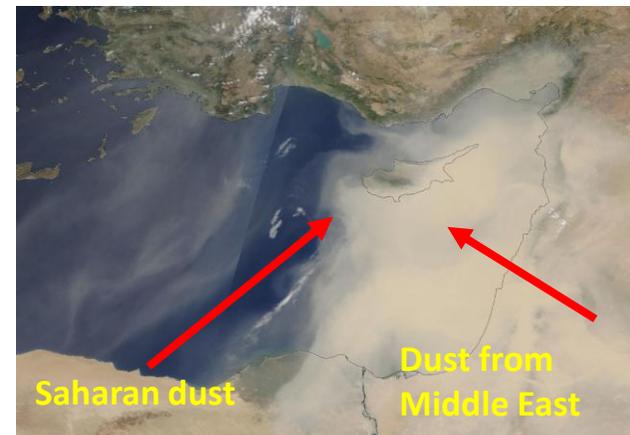
## EarthCARE Satellite



## Aerosol types in the area



29/07/2021  
Satellite image (MODIS)  
Smoke from fires in Turkey



08/09/2015  
Satellite image (MODIS)  
Dust from Sahara & Middle East

## Key facility for study of aerosols



Polly XT Raman LIDAR  
depolarization system, CARO NF of  
Eratosthenes Centre of Excellence



Sun/sky photometer of  
AERONET network,  
CUT-TEPAK site

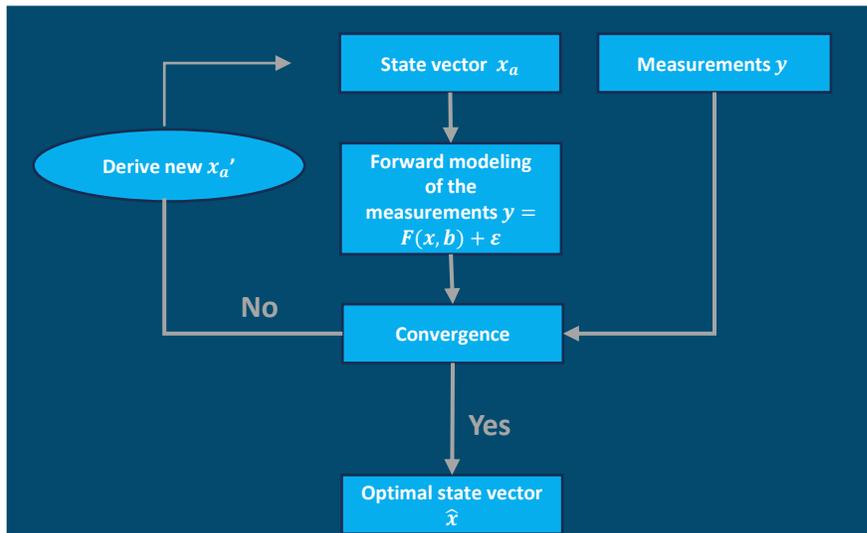
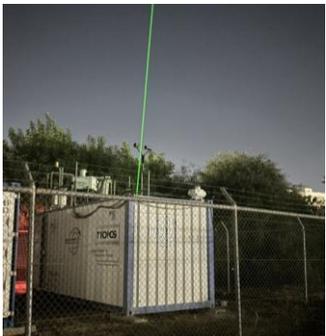
# HETEAC-Flex model

A. A. Floutsi et al., 2024

HETEAC-Flex is an advanced **aerosol-typing scheme** that utilizes **lidar-derived** optical properties to classify and quantify aerosol components.

## Key Features

- Applicable to **ground-based & spaceborne lidars**
- Uses **lidar-derived intensive optical properties**
- Based on the **Optimal Estimation Method (OEM) - (Rodgers, 2000)**,
- Identifies **up to four aerosol components**
- Provides **six forward retrieval modes** - different sets of optical properties
- Determines **relative volume contributions of aerosol mixtures**



## Aerosol components



CNS

Desert dust



FSNA

Pollution



CS

Sea salt



FSA

Smoke

- CNS:** Coarse non-Spherical
- CS:** Coarse Spherical
- FSNA:** Fine Spherical non-Absorbing
- FSA:** Fine Spherical Absorbing

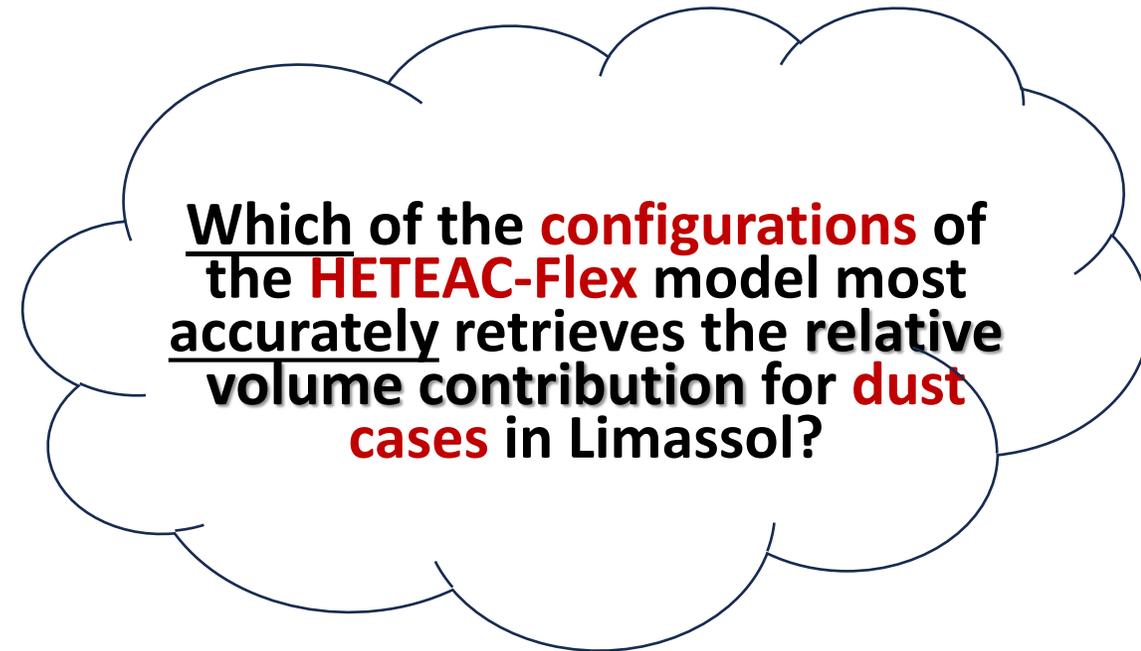
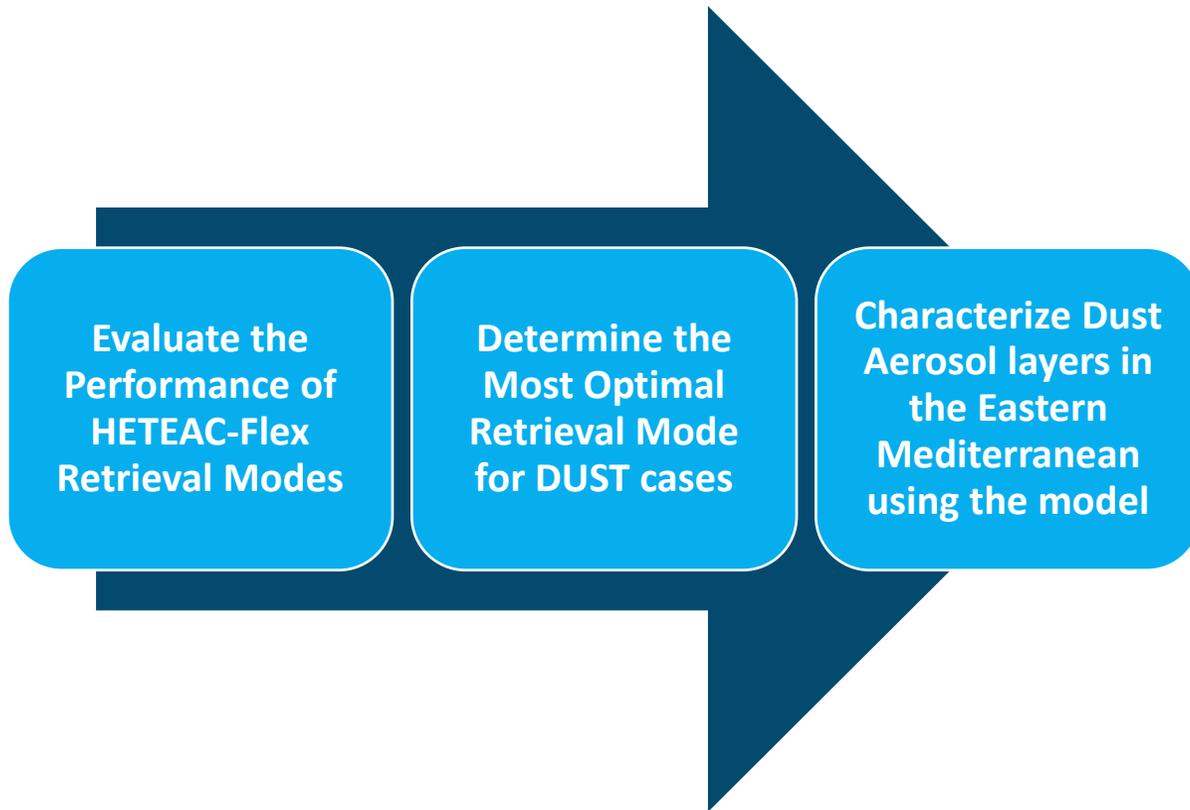
- Particle linear depolarization ratio at 355, 532 nm ( **$\delta_{355}, \delta_{532}$** ),
- Lidar ratio at 355, 532 nm ( **$S_{355}, S_{532}$** ),
- Extinction-related Ångström exponent at 355/532 nm ( **$AE(355/532)$** ),
- Backscatter-related color ratio for 532/1064 nm ( **$C_{\beta}(532/1064)$** ).

PAGE 3

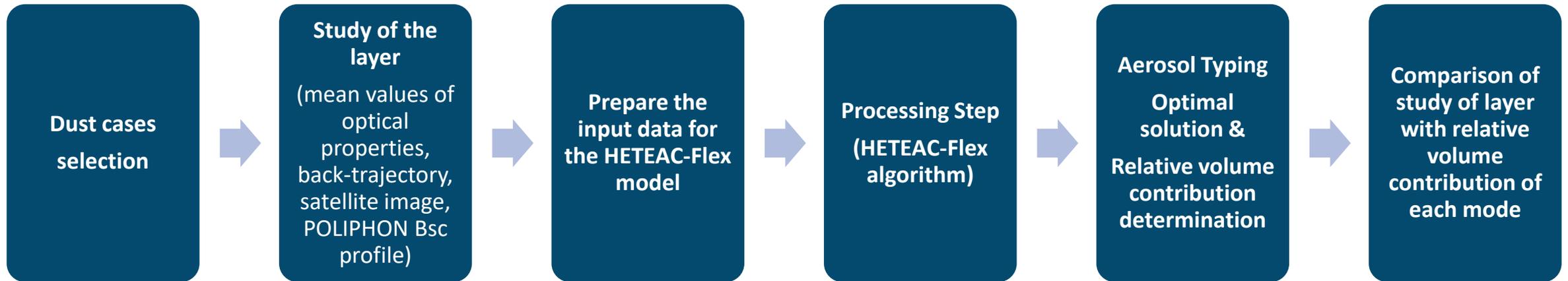
Size, Shape  
Quantity, Absorption  
Type / source

Retrieval modes	Parameters
1	$\delta_{355}, S_{355}$
2	$\delta_{532}, S_{532}$
3	$\delta_{355}, S_{355}, \mathring{A}_{355/532}$
4	$\delta_{532}, S_{532}, C_{\beta 532/1064}$
5	$\delta_{355}, S_{355}, \delta_{532}, S_{532}$
6	$\delta_{355}, S_{355}, \mathring{A}_{355/532}, \delta_{532}, S_{532}, C_{\beta 532/1064}$

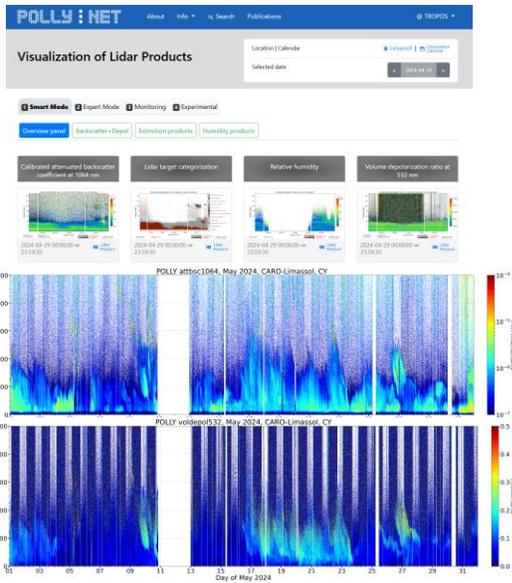
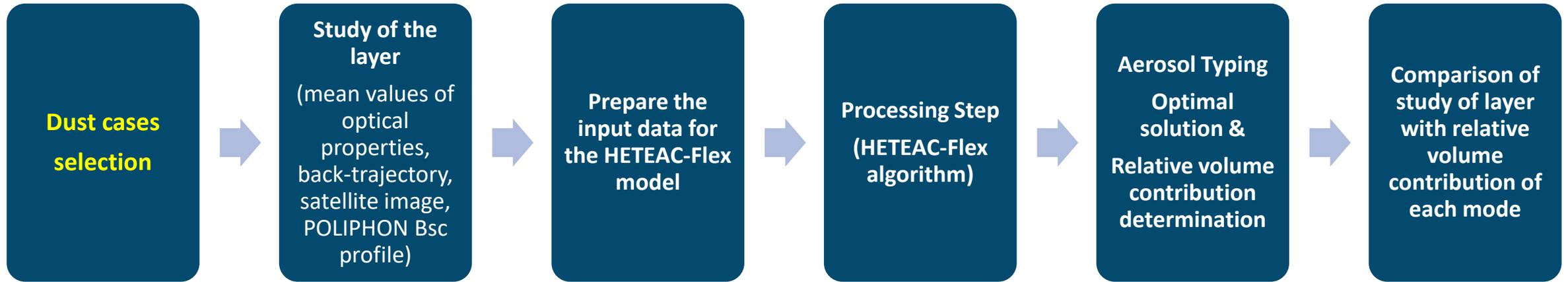
## Objectives & Research Question



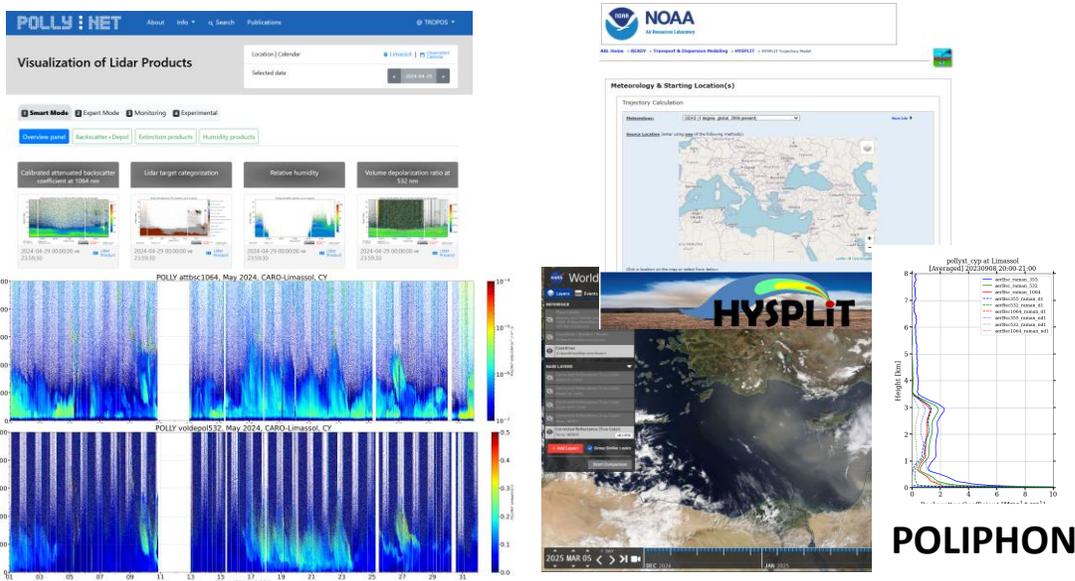
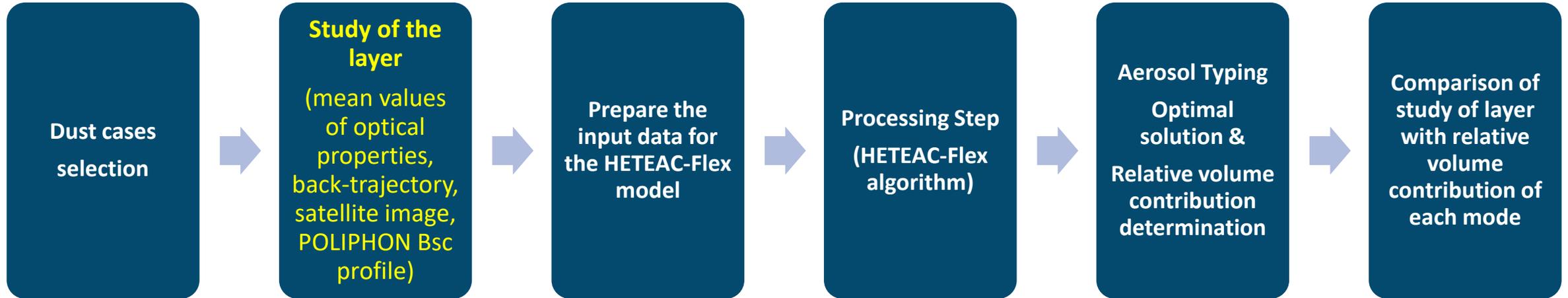
## Method



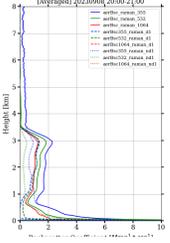
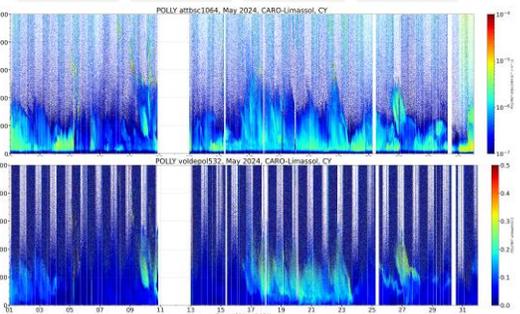
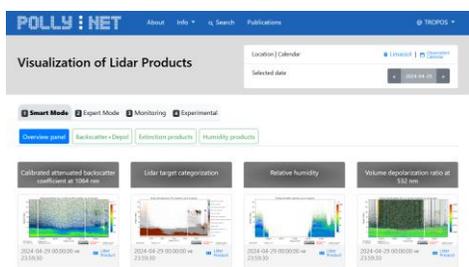
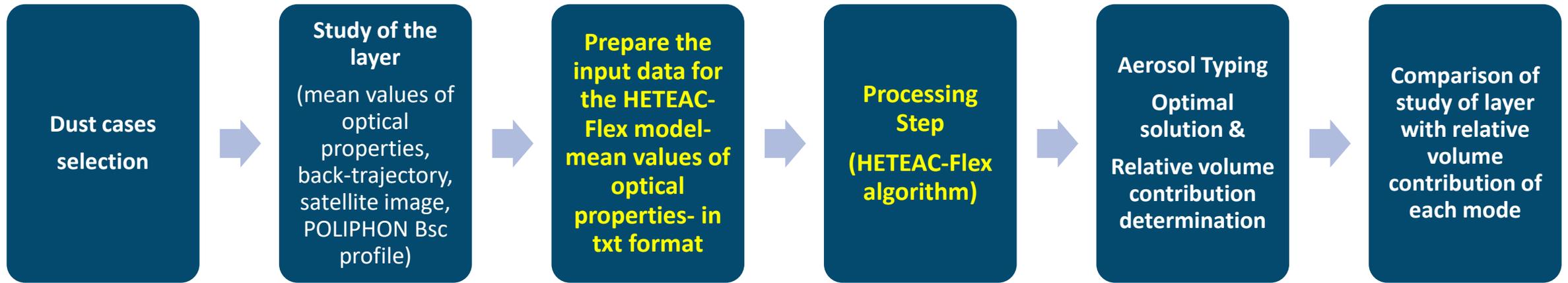
# Method



# Method



# Method



POLIPHON

```

    % HETEAC-Flex
    % Version 4.3: November 2023
    % Athena Augusta Floutsi (floutsi@tropos.de)
    % Update to the decision tree
    % Include new ext/depol a priori for asian dust
    % General optimization
    % =====
    clear all; close all; clc;
    % =====

    Part 1: Read input file and initial set up

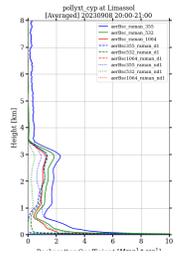
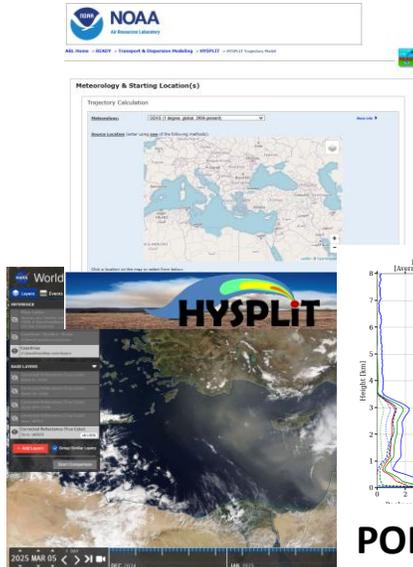
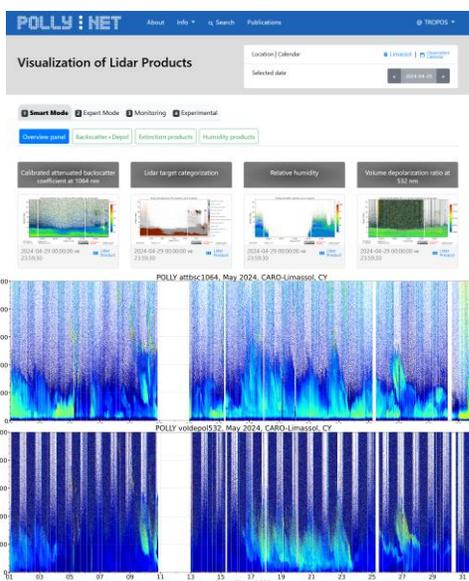
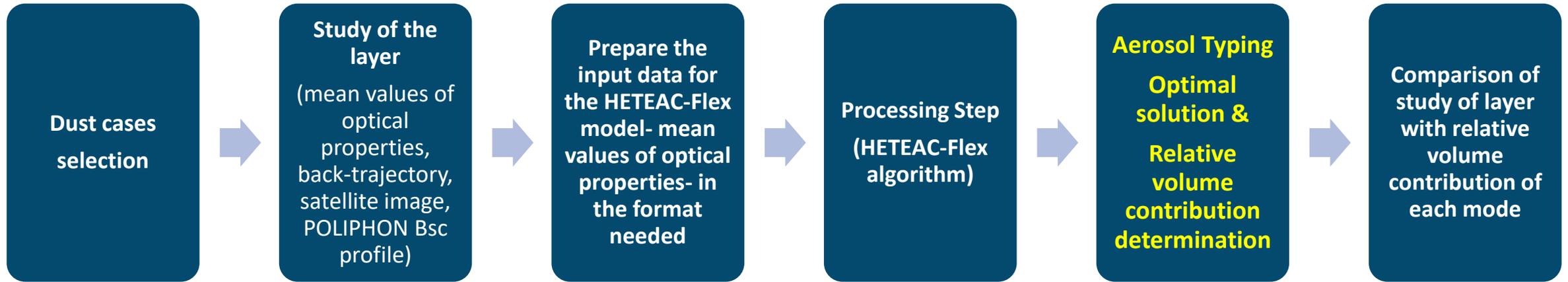
    config

    tic
    filePattern = fullfile('./', '*.txt'); % fulfilment criterion for input files
    input_file = dir(filePattern);
    NumMeas = length(input_file); % number of input files

    for k = 1:NumMeas
        baseFileName = input_file(k).name;
        fullFileName = fullfile('./', baseFileName);
        fprintf(1, 'Now reading %s\n', fullFileName);
        fid = fopen(fullFileName, 'rt');
        fmtstring = repmat('%f', 1, 4);
        data_cells = textscan(fid, fmtstring);
        fclose(fid);

        temp = data_cells{1};
        v = (1:length(temp)) - data_cells{1}; % read measurements from the input file
  
```

# Method



**POLIPHON**

```

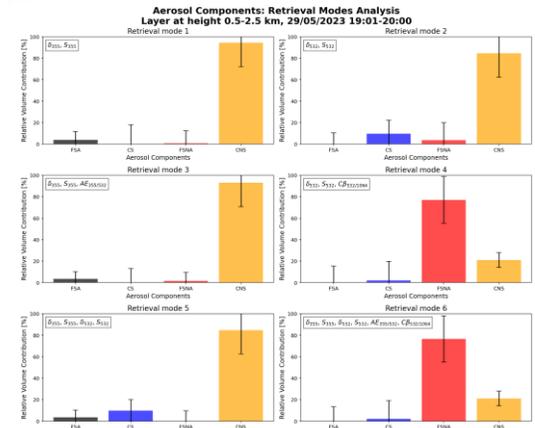
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    LICENSE
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    des_tree.m
    config.m
    2023_08_16_23_2500_5000.txt
    2023_08_14_00_2000_4500.txt
    2023_01_01_23_1000_3000.txt
    netcdf (case study) HETEAC FLEX
    git_example_cases

    Live Editor - HETEAC_Flex_main.m
    config.m | des_tree.m | jacobian.m | README.md | LICENSE | HETEAC_Flex_main.m | HETEAC_Flex_main.m

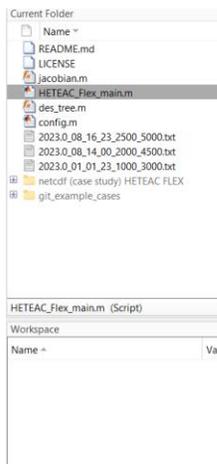
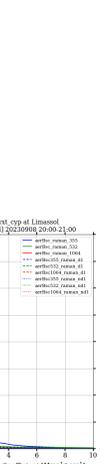
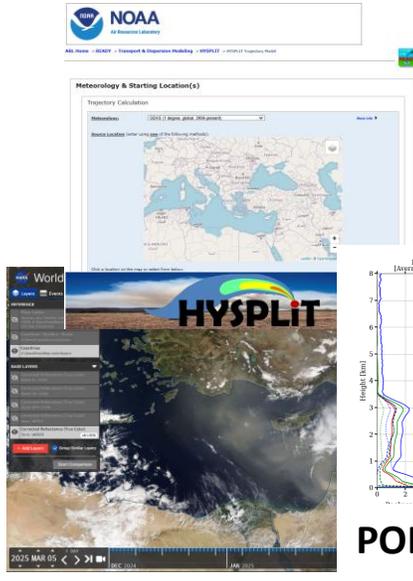
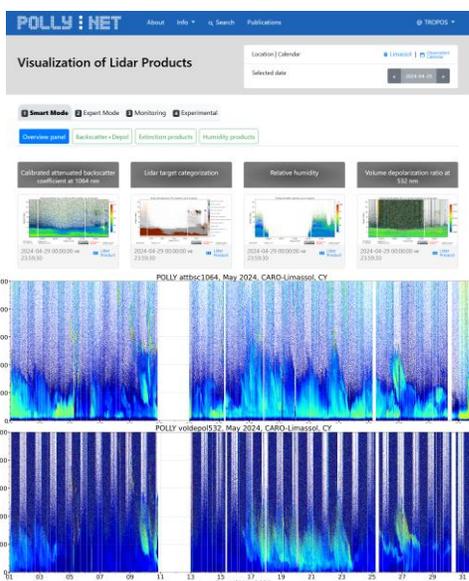
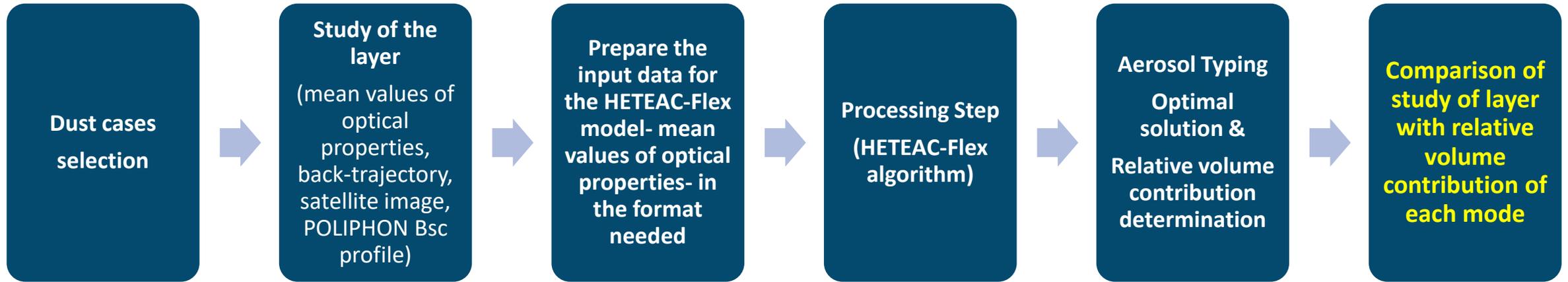
    1 %~~~~~ HETEAC-Flex ~~~~~
    2 % Version 4.3: November 2023
    3 % Athena Augusta Floutsis (floutsis@tropos.de)
    4 % Update to the decision tree
    5 % Include new ext/depol a priori for asian dust
    6 % General optimization
    7 %~~~~~
    8 clear all; close all; clc;
    9 %~~~~~

    Part 1: Read input file and initial set up

    10 %~~~~~
    11
    12
    13
    14 config
    15 filePattern = fullfile('./', '*.txt'); % fulfilment criterion for input files
    16 input_file = dir(filePattern);
    17 NumMeas = length(input_file); % number of input files
    18
    19 for k = 1:NumMeas
    20     baseFileName = input_file(k).name;
    21     fullFileName = fullfile('./', baseFileName);
    22     fprintf(1, 'Now reading %s\n', fullFileName);
    23     fid = fopen(fullFileName, 'rt');
    24     fmtstring = repmat('%f', 1, 4);
    25     data_cells = textscan(fid, fmtstring);
    26     fclose(fid);
    27
    28     temp = data_cells{1};
    29     v = (1:length(temp)) - data_cells{1}; % read measurements from the input file
  
```



# Method



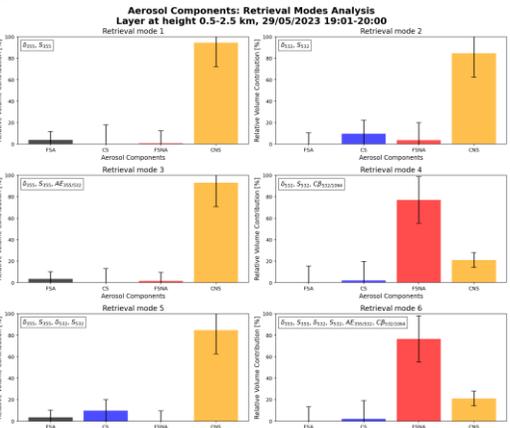
```

%***** HETEAC-Flex %*****
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Part 1: Read input file and initial set up

%*****
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23     temp = data_cells{1};
24     v = (1:length(temp)) - data_cells{1}; % read measurements from the input file
  
```



POLIPHON

## Selection of Dust Cases & Limitations

### Main Selection Criteria

#### Dust events



Filtering by cloud-free conditions

Select nighttime measurements

Checking backscatter & depolarization ratio

Availability of analysis data

### Limitations & Challenges



Errors in retrieval modes 4, 5, 6

Model failure to converge in some cases within 30 iterations, not estimate optimal solution

Dependence on automated analysis data availability.

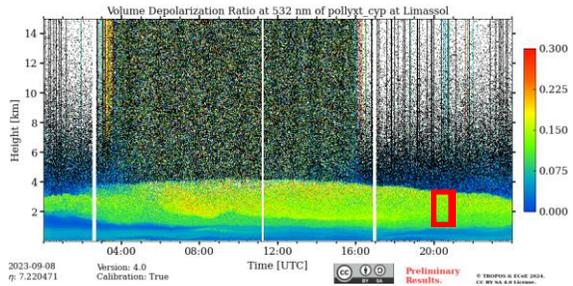
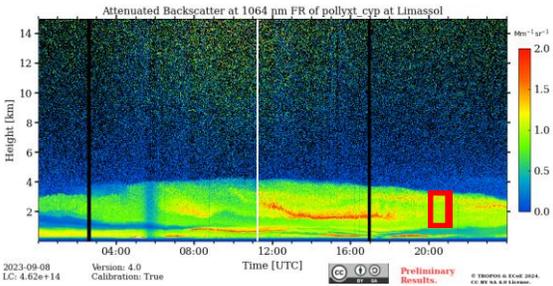
# Results

## Case 1: 08/09/2023 – Saharan dust

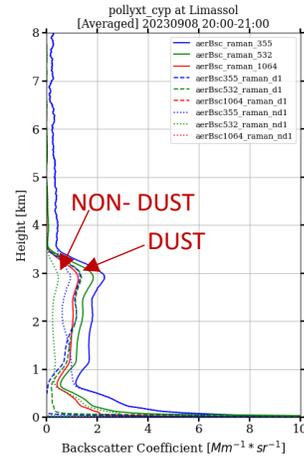
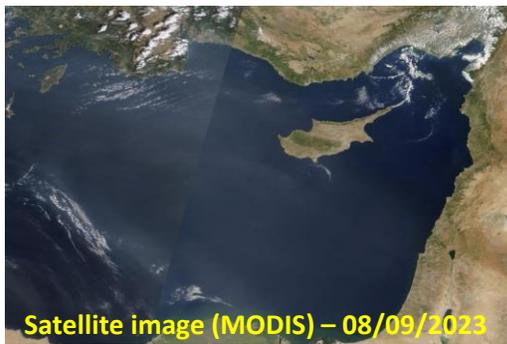
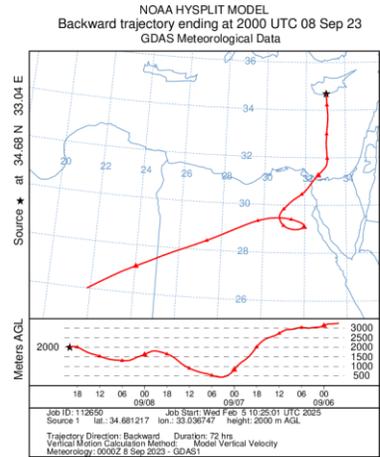
**08/09/2023**  
**20:00 – 21:00**  
**Layer height: 1-3 km**

From literature *Saharan dust* mean values  
**Floutsi et al., 2023**

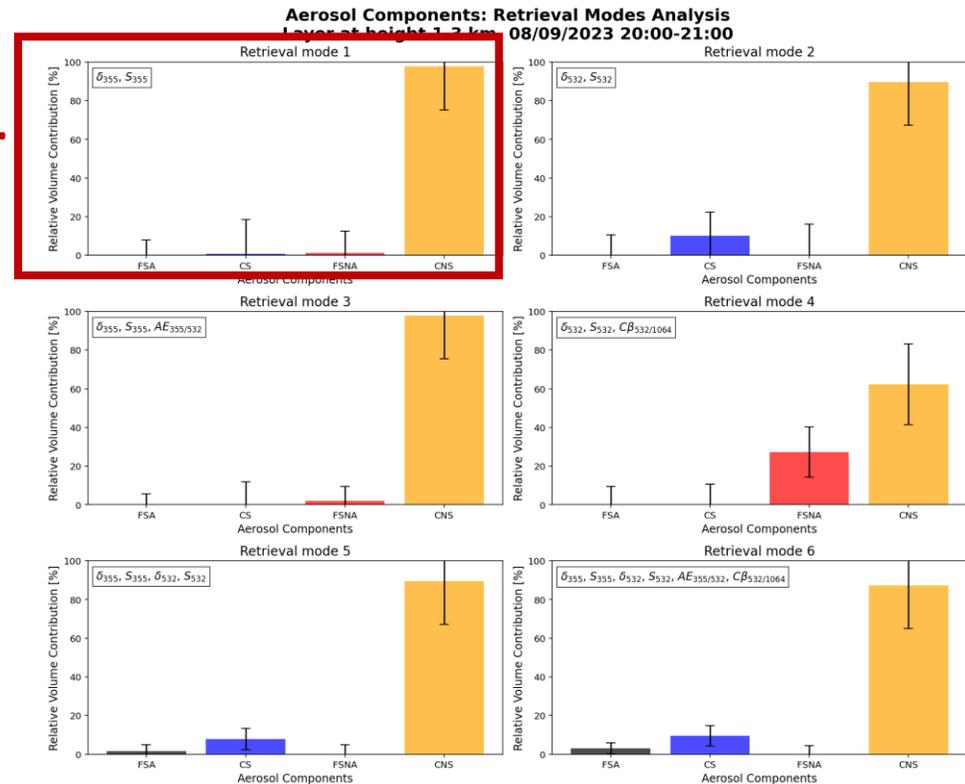
Properties from LIDAR	Mean values layer
$\delta_{355}$	$0.204 \pm 0.017$
$S_{355}$	$57.869 \pm 5.037$
$\tilde{A}_{355/532}$	$0.342 \pm 0.388$
$\delta_{532}$	<b><math>0.239 \pm 0.012</math></b>
$S_{532}$	<b><math>43.731 \pm 7.332</math></b>
$C_{\beta 532/1064}$	$1.359 \pm 0.073$



Initial guess - state vector based on decision tree of input data  
**(CNS\*)DESERT DUST dominated mix**



S.S.



72h back-trajectory HYSPLIT model  
 08/09/2023 20:00

**Most trusted retrieval modes 1!**

Backscatter profiles POLIPHON  
 29/04/2024 00:00-00:59

29/04/2023  
00:00 – 00:59  
Layer height: 1-4 km

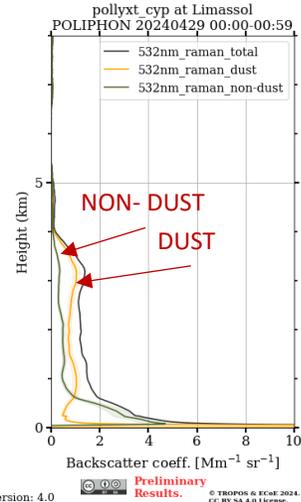
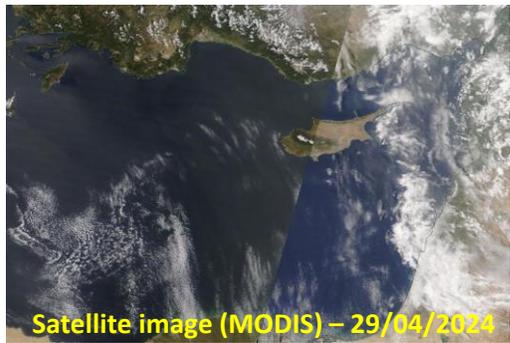
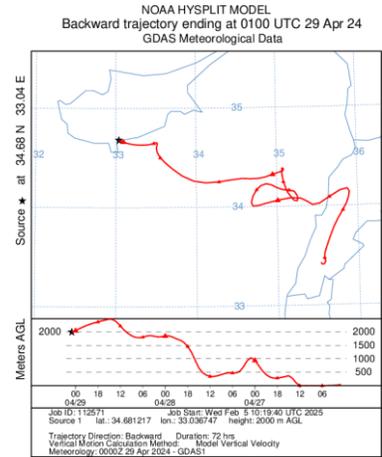
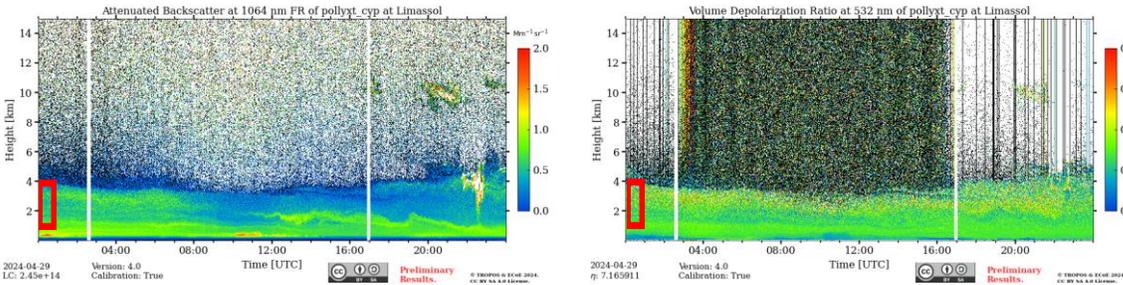
Properties from LIDAR	Mean values layer
$\delta_{355}$	$0.202 \pm 0.045$
$S_{355}$	$56.2 \pm 13$
$\tilde{A}_{355/532}$	$0.355 \pm 1.21$
$\delta_{532}$	<b><math>0.219 \pm 0.019</math></b>
$S_{532}$	<b><math>45.5 \pm 17</math></b>
$C_{\beta 532/1064}$	$1.82 \pm 0.12$

# Results

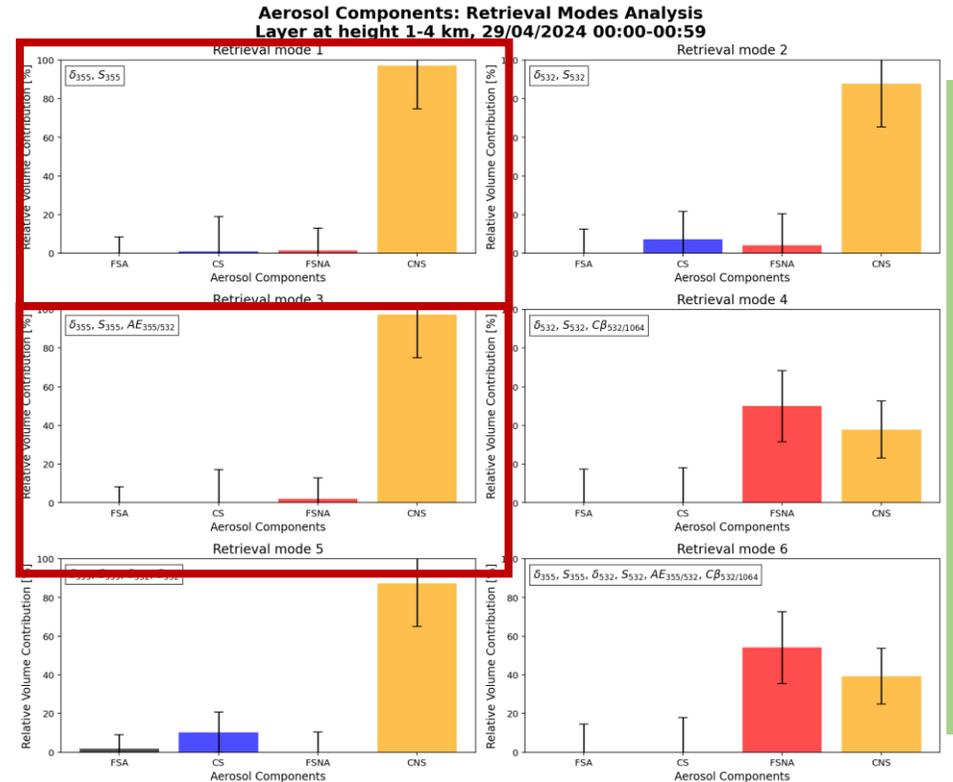
## Case 2: 29/04/2024 – Middle East dust

From literature *Middle East dust* mean values  
**Floutsi et al., 2023**

Initial guess - state vector based on decision tree of input data  
**(CNS\*)DESERT DUST dominated mix**



S.S.



72h back-trajectory HYSPLIT model  
29/04/2024 01:00

**Most trusted retrieval modes 1, 3!**

Backscatter profiles, POLIPHON method, 29/04/2024 00:00-00:59

## Conclusions

❖ The **most effective HETEAC-Flex** retrieval modes for dust aerosol classification were **1, 2, 3 modes** for the cases selected for this study.



- Particle linear depolarization ratio at 355, 532 nm ( **$\delta_{355}$ ,  $\delta_{532}$** ),
- Lidar ratio at 355, 532 nm ( **$S_{355}$ ,  $S_{532}$** ),
- Extinction-related Ångström exponent at 355/532 nm ( **$AE(355/532)$** )

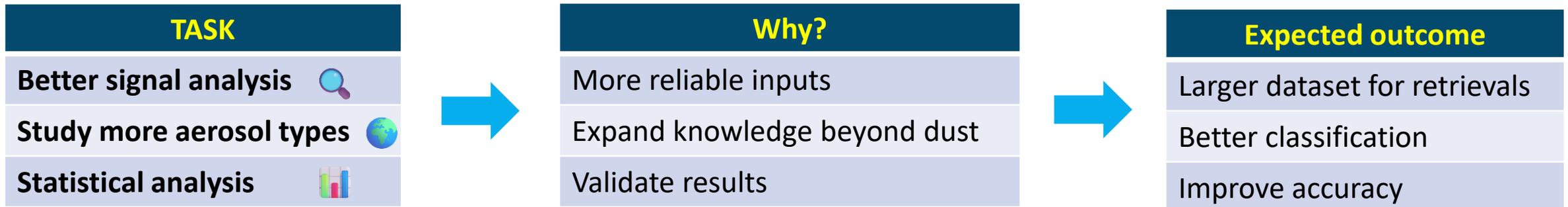
❖ Since **Mode 1** is reliable, it can be **effectively** used for validating **aerosol classification** of EarthCARE for **dust cases**.

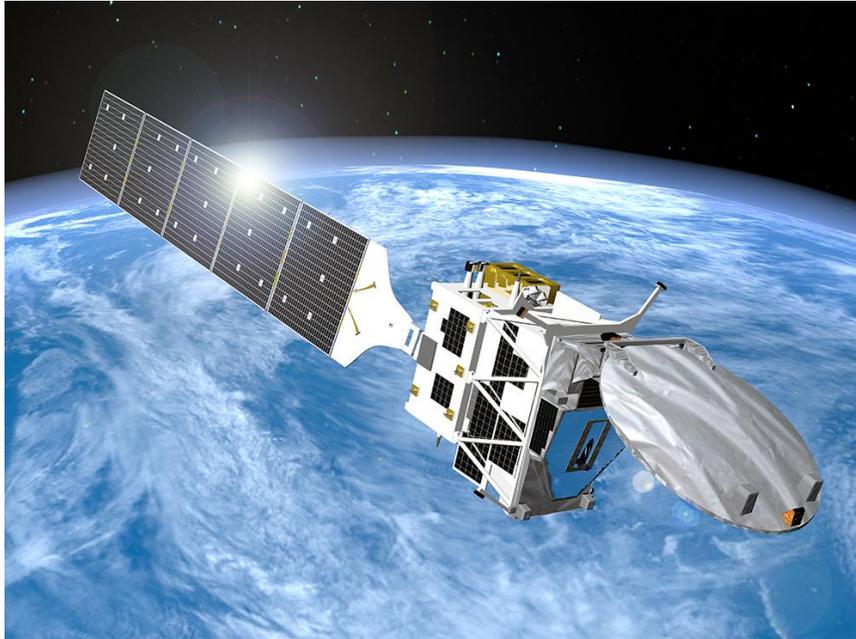
Retrieval modes	Parameters
1	$\delta_{355}, S_{355}$
2	$\delta_{532}, S_{532}$
3	$\delta_{355}, S_{355}, \text{\AA}_{355/532}$

### EarthCARE Satellite



## Discussion - Future Work:

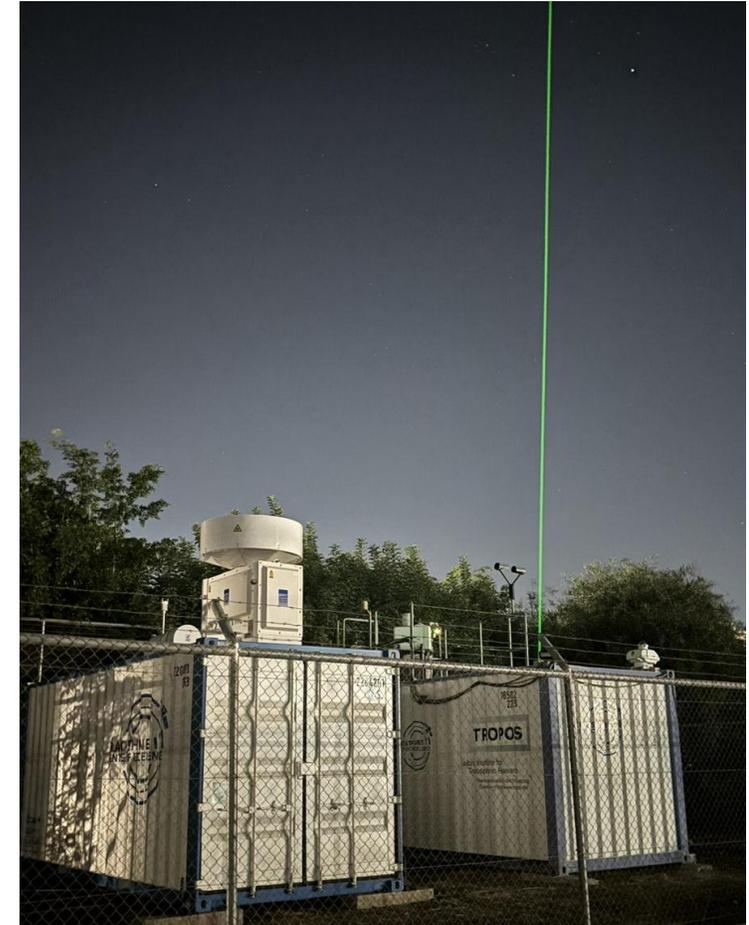




*EarthCARE satellite*

**Thank you!**

Questions?



*CARO station - Limassol*



[contact@eratosthenes.org.cy](mailto:contact@eratosthenes.org.cy)

[eratosthenes.org.cy](http://eratosthenes.org.cy)



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